To: **ATHEXGROUP** Date:

**Members Support & Network Management Unit**

Tel: +30 210 336 6393, 30 210 336 6385

E-mail: [members-support@athexgroup.gr](mailto:members-support@athexgroup.gr)

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **General Info** | |  |  | |  |
| Member’s name | : | |  | |  |
| OASIS ID | : | | DSS Operator’s ID | : | |
| Address/Postal Code | : | | City/Country | : | |
| Email | : | |  |  | |
| Tel. | : | | FAX | : | |
| TIN**[[1]](#footnote-1)** | : | | Tax Office | : | |
| No of GCR**[[2]](#footnote-2)** | : | | Issue Date of GCR/Authority | : | |
| BIC | : | |  |  | |
| LEI Code | : | | Corporate sector**[[3]](#footnote-3)** | : | |
|  |  | |  |  | |
| BoD Chairman name | : | |  |  | |
| Tel. | : | | Email | : | |
| CEO name | : | |  |  | |
| Tel. | : | | Email | : | |

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| **Key Persons** | | | | | |
| With this form, the abovementioned participant dictates its designated executives for any communication imposed by ATHEX group’s companies Rules and Decisions | | | | | |
| **Membership issues**  *In this area please fill in persons who have the right to sign application forms for your company regarding membership issues such as:*   * *application form for activation/deactivation as ATHEX/ ATHEXClear Member,* * *application form for activation/deactivation as DSS Operator/ General Operator,* * *application form for activation/deactivation as Market Maker in Cash or Derivatives Market and* * *submission of Member’s Profile Form via Service Desk* | | | | | |
| Name | : | Tel. | : | Action: | Choose an item. |
| Email | : | Mob. | : |  | |
| Name | : | Tel. | : | Action: | Choose an item. |
| Email | : | Mob. | : |  | |
| Name | : | Tel. | : | Action: | Choose an item. |
| Email | : | Mob. | : |  | |
| Name | : | Tel. | : | Action: | Choose an item. |
| Email | : | Mob. | : |  | |
| Name | : | Tel. | : | Action: | Choose an item. |
| Email | : | Mob. | : |  | |

**Compliance Officers**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Cash Trading issues**

*Only certified traders in Cash Market are accepted in this area/ persons have to possess the ATHEX Trading Certification in Cash Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Derivatives Trading issues**

*Only certified traders in Derivatives Market are accepted in this area/ persons have to possess the ATHEX Trading Certification in Derivatives Market*

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| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**XNET Trading issues**

*Only for XNET participants*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Cash Market Making issues**

*Only certified traders in Cash Market are accepted in this area/ persons have to possess the ATHEX Trading Certification in Cash Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Derivatives Market Making issues**

*Only certified traders in Derivatives Market are accepted in this area/ persons have to possess the ATHEX Trading Certification in Derivatives Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Cash Clearing issues**

*Only for Clearing Members in Cash Market/ persons have to possess the ATHEX Clearing & Settlement Certification in Cash Market*

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| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Derivatives Clearing issues**

*Only for Clearing Members in Derivatives Market/ persons have to possess the ATHEX Clearing & Settlement Certification in Derivatives Market*

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| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Settlement & Registry Services**

*Only for Custodians/ persons have to possess the ATHEX Clearing & Settlement Certification in Cash or Derivatives Market*

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Technical (IT) Dpt**

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| Head of dpt and  other Responsible Persons | Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |
| Position: | Choose an item. | Name | : | Tel. | : |
| Action: | Choose an item. | Email | : | Mob. | : |

**Notes**

*Write down some notes if you want to specify something unclear*

|  |
| --- |
|  |

|  |
| --- |
| **Authorized Signatories** |
| Please attach the Authorized Signatory list of your company with the signature specimens |

|  |
| --- |
| Authorised Signatory’s Name, Signature  Company Stamp |

1. *TIN for the Tax Identification Number.* [↑](#footnote-ref-1)
2. *GCR for the General Commercial Registry.* [↑](#footnote-ref-2)
3. *The available options of Member’s Corporate Sector mean:* ***C****= Credit institution authorized in accordance with Directive 2006/48/EC,* ***F*** *= Investment firm in accordance with Directive 2004/39/EC.* [↑](#footnote-ref-3)