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RESOLUTION No 13

"Technical terms and procedures for the Regulatory Reporting Service"

**THE BOARD OF DIRECTORS
OF THE GREEK CENTRAL SECURITIES DEPOSITORY
SOCIETE ANONYME (ATHEXCSD)**

(Meeting 22/02/2021)

Having regard to:

1. The provisions of Articles 5.2 of Part 5 of Section X of the Rulebook of the Greek Central Securities Depository (hereinafter the "Rules"), and
2. the need to specify the technical terms and procedures relating to the provision of the Regulatory Reporting Service

ISSUES THIS RESOLUTION AS FOLLOWS:

1. Scope of application

This Resolution lays down the terms and procedures for the provision of the Regulatory Reporting Service (hereinafter the Service). The Service is provided to Participants or other Intermediaries who are their customers for the purposes of their compliance with their obligations to submit Regulatory Reports that ATHEXCSD may support based on its procedures. Compliance should be related to the transactions settled for the Participant or the Intermediary through ATHEXCSD, in accordance with the Rulebook.

2. Terms and procedures for the provision of the Service

1. ATHEXCSD shall formulate the subject matter and type of the Service on the basis of the individual requests of the Participants or other Intermediaries.

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2. The person concerned, in accordance with the above, shall submit an application to ATHEXCSD in a form issued by it, specifying the type of the Regulatory Report and the provisions requiring its submission.
3. The relevant application shall also specify the period of time for which the Service is requested, the periodicity in terms of its provision by ATHEXCSD depending on the type of relevant regulatory obligation, as well as any other necessary details.
4. ATHEXCSD may request any other data or information it deems necessary for the assessment of the application. It may also refuse to accept the application, providing adequate reasons for its refusal.
5. Upon acceptance of the application, ATHEXCSD set out to the person concerned the procedures to be followed, indicatively as regards the deadlines and the periodicity of submission of the data and the format of submission, for the purposes of providing the relevant Service.

3. Submission procedures

1. ATHEXCSD submit the Regulatory Reports of the person concerned on a case-by-case basis, in accordance with its procedures and in compliance with the statutory procedure for submitting them.
2. For the submission of par. 1, the person concerned shall be required to comply with the terms and procedures for the provision of the necessary information, where appropriate, in compliance with the terms of par. 5 of Article 2 hereto.
3. In any case of submission, ATHEXCSD shall provide, upon completion of the submission, relevant information to the person concerned, in accordance with the information procedures, adopted in accordance with the statutory submission.
4. For the use of the Service, the person concerned must pay the charges provided for in a relevant Resolution of ATHEXCSD.

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4. Entry into force

1. This resolution shall be made public via the website of ATHEXCSD. This shall become effective from its publication, namely from 12.04.2021.
2. The publication hereof shall be subject to the formalities set out in Article 2.6 of Part 2 of Section I of the Rulebook.