

## DISCLAIMER

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## Resolution 18

### "Fee Schedule"

*Codified to include the decisions dated 29.03.2021, 26.04.2021, 24.05.2021, 28.06.2021, 26.07.2021, 25.10.2021, 29.11.2021, 31.01.2022, 28.03.2022, 30.05.2022, 21.11.2022 and 24.04.2023 of the Board of Directors.*

## THE BOARD OF DIRECTORS

### OF HELLENIC CENTRAL SECURITIES DEPOSITORY S.A. (ATHEXCSD)

(Meeting 311/22-02-2021)

Having regard to:

- a) the provisions of paragraph 1.8 of Section II and paragraphs 1.1, 1.2 and 1.3 of Section XII of the Hellenic Central Securities Depository Rulebook (hereinafter the "Rulebook"), and
- b) the need to determine matters relating to the payment of subscriptions and all kinds of fees for the use of ATHEXCSD Services

## HEREBY RESOLVES AS FOLLOWS

## **Article 1. Purpose & scope**

1. The purpose of this resolution is to describe the fees for services provided to Participants, Issuers and Fund Managers, Central Securities Depositories, Market Infrastructure Operators, Beneficiaries, Registered Intermediaries and other clients of ATHEXCSD.
2. The relevant fees are set out in detail in the Annex hereto.

## **Article 2. General terms**

1. Accounting documents are issued upon receipt of the service. In the case of services received on an ongoing basis, charging and invoicing shall be carried out on a monthly or quarterly basis. Where fees in the Annex refer to annual fees, they shall be converted to monthly or quarterly charges by dividing the billing rate by the number of months (12 or 4 respectively).
2. Payment based on accounting documents shall be made within thirty calendar days, unless otherwise specified in the relevant charge.
3. All amounts owed to ATHEXCSD are immediately payable. In the event that, for any reason, settlement of an amount owed is delayed by more than 30 days from the date of invoice issue, ATHEXCSD shall have the right to terminate the provision of the service to the debtor.
4. The recording and facilitation of corporate actions on behalf of an issuer shall be carried out only if there are no overdue debts.
5. ATHEXCSD may decide to not impose a charge for individual fees whose invoicing does not exceed EUR 1.
6. For the settlement services provided by ATHEXCSD, the payment of fees shall be made on the day following the settlement date. Alternatively, and if a Participant submits a relevant request to ATHEXCSD in accordance with its procedures, payment of the fee can be made on the third business day of the following calendar month. Specifically in the case of charges to Market Infrastructure Operators that use the multilateral settlement services, the amount shall be paid in the first (1st) week of each subsequent calendar quarter.
7. Wherever in this Resolution the use of reference values is required for the calculation of fees in accordance with instances a) and b) below, the reference values used shall be those specified as follows:
  - a. For the valuation of the Securities Accounts balance or for the determination of the transaction value, with regard to:
    - i. Securities listed on Athens Stock Exchange or the Alternative Market: the closing prices of Athens Stock Exchange;
    - ii. Securities not listed on Athens Stock Exchange: the prices of those securities as provided by the Hellenic Exchanges Reference Data System (HERDS) of Athens Stock Exchange;
    - iii. Corporate Bonds or Greek Government Bonds: their nominal value.
  - b. For conversion to Euro: the Euro foreign exchange reference rates published on the relevant website of the European Central Bank (ECB) on the day of calculation of the relevant fee.
8. Any additional and out-of-pocket expenses borne by ATHEXCSD for the provision of services through its Providers, such as ADR charges, fees/taxes, re-registration fees, stock certificate splits, proxy voting, tax reclaims, participation in a share capital increase, ESES Floor Gap Fee, fines

imposed due to the Participant's fault, shall be invoiced to the Participant, increased by 10%, by no later than the calendar month following the corresponding charging by the Provider.<sup>1</sup>

9. The Securities Accounts of the Participant Consignment Deposits and Loans Fund (CDLF) and of the special Participant ATHEXCSD - Forced Sales are exempt from the Fee Schedule for ATHEXCSD services. In this case, during the process of transferring Securities from the CDLF Participant Securities Account to a Client Securities Account or a Clients Securities Account of the beneficiary, the holding cost is calculated and invoiced as accumulated for the period the Securities remained in the CDLF Participant Securities Account and in accordance with the applicable invoicing policy and paid by the Securities beneficiary. ATHEXCSD may offset any due debts of a beneficiary that holds Securities in a Securities Account of the CDLF Special Participant or the special Participant ATHEXCSD - Forced Sales from fees that have not been paid, against cash distributions or payments that arise in favor of the beneficiary.

### **Article 3. Entry into force**

1. This resolution shall be publicly disclosed on the website [www.athexgroup.gr](http://www.athexgroup.gr) and shall become effective as of its publication, i.e. as of 12.04.2021.
2. The public disclosure hereof is subject to the formalities of subparagraph 5, paragraph 1.1, of subparagraph 4, paragraph 1.2 and of subparagraph 2, paragraph 1.3 of Section XII of the Rulebook.
3. The competent departments of ATHEXCSD shall make the necessary entries herein with regard to technical matters concerning the provision of code numbers for the invoicing of services, the periodicity of payment collection and the imposition or not of VAT. The updating hereof on the basis of the above, as codified from time to time by the competent departments of ATHEXCSD, shall be subject to public disclosure by the posting of each codified version of the Rulebook.

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<sup>1</sup> Paragraph 8 of article 2 was amended as above by virtue of decision no. 331/30.05.2022 of the Board of Directors with effect as of 01.08.2022.

## Annex

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
<b>A01. Initial Recording Service</b>				
<b>A0101. Initial Recording Service provided to Listed Issuers with dematerialization</b>				
1	Examination of the application file for the provision of the Initial Recording Service	Issuers	<b>Fee</b> EUR 1 000 lump sum payment for the examination of an application to use the initial recording service. If the applicant is already an active Issuer in ATHEXCSD for another Security, such fee shall not apply. <b>Payment</b> Upon submission of the application <b>VAT</b> 24%	900001195
2	Initial Recording of Securities, including conversion of physical securities into dematerialized securities as well as their issuance directly in dematerialized form	Issuers	<u><b>Stocks</b></u> <b>Fee</b> A scale is applied based on the issue value: From EUR 0 to EUR 3 000 000                      0.20% From EUR 3 000 000.01 to EUR 9 000 000                      0.15% From EUR 9 000 000.01 to EUR 15 000 000                      0.10% EUR 15 000 000.01 and over                      0.05% Minimum: EUR 3 000 per initial recording The issue value to which the scale is applied is equal to the product of the subscription price or the listing price of each stock multiplied by the number of stocks <b>Payment</b> Upon submission of the application or completion of the recording <b>VAT</b> Not payable	900000426
3			<u><b>Corporate Bonds</b></u> <b>Fee</b> EUR 3 000 per corporate bond, plus: A scale is applied based on the nominal value of the issue: From EUR 0 to EUR 40 000 000                      0.025% From EUR 40 000 000.01 to EUR 100 000 000                      0.020%	900000349

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			From EUR 100 000 000.01 to EUR 150 000 000 0.015% EUR 150 000 000.01 and above 0.005% The issue value to which the scale is applied is equal to the product of the subscription price of each bond multiplied by the number of bonds <b>Payment</b> Upon submission of the request or completion of the recording <b>VAT</b> Not payable	
4			<b><u>Warrants</u></b> <b>Fee</b> 0.25% of the issue value Minimum: EUR 3 000 Maximum: EUR 10 000 The issue value to which the scale is applied is equal to the product of the listing price (starting price) of each warrant multiplied by the number of warrants <b>Payment</b> Upon submission of the request or completion of the recording <b>VAT</b> Not payable	900000392
5			<b><u>Certificates and depositary receipts</u></b> <b>Fee</b> 0.25% of the issue value Minimum: EUR 3 000 Maximum: EUR 10 000 The issue value to which the scale is applied is equal to the product of the subscription price of each certificate / depositary receipt multiplied by the number of certificates / depositary receipts <b>Payment</b> Upon submission of the request or completion of the recording <b>VAT</b>	900000390

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			Not payable	
6			<u>Secondary securities listed on ATHEX</u> <b>Fee</b> With regard to that portion of Securities allocated to beneficiaries and monitored through book entries via the DSS, the above charges shall apply reduced by half <b>Payment</b> Upon submission of the request or completion of the recording <b>VAT</b> Not payable	900001194
7	Maintenance of issuer and securities data	Issuers	<u>Stocks</u> <b>Fee</b> EUR 600 per year and per issuer, plus: An annual scale is applied as a percentage of the value of the recorded Securities: From EUR 0 to EUR 40 000 000 0.0015% From EUR 40 000 000.01 to EUR 100 000 000 0.0010% From EUR 100 000 000.01 to EUR 200 000 000 0.0005% EUR 200 000 000.01 and above 0.0001% Maximum: EUR 2 500 per year and per issuer  The value of the company's recorded Securities results from the formula: Value = number of recorded stocks x average stock price. The fee is calculated based on the average monthly capitalization of the stock. The average monthly capitalization to which the scale is applied is equal to the total daily capitalization based on the daily closing price by the actual number of days of the month. If no price is available, the last available closing price shall apply. The fee is calculated for the whole month even if the issuer becomes an unlisted company during the billing period.  <b>Payment</b>	900001196

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			Per month <b>VAT</b> 24%	
8			<b>Corporate Bonds</b> <b>Fee</b> EUR 1 200 per year and per issuer, plus: An annual scale is applied as a percentage of the nominal value of the Securities: From EUR 0 to EUR 40 000 000 0.0000% From EUR 40 000 000.01 to EUR 100 000 000 0.0020% From EUR 100 000 000.01 to EUR 200 000 000 0.0003% EUR 200 000 000.01 and over 0.0002% Maximum: EUR 5 000 per year and per issuer The fee is calculated on the average monthly nominal value issued. The average monthly value to which the scale is applied is equal to the total daily issue prices at the end of the day divided by the actual number of days of the month. <b>Payment</b> Per month <b>VAT</b> 24%	900000440
9			<b>Warrants, certificates and depositary receipts</b> No fee	
10	Handling of forced sale requests by ATHEXCSD as a consequence of non-appearance of beneficiaries or corporate actions	Issuers	<b>Forced sale of stocks as a consequence of non-appearance of beneficiaries or corporate actions of article 7, Law 4569/2018</b> <b>Fee</b> 0.0325% of the forced sale value Minimum: EUR 200 Charge of EUR 0.5 per transaction notification <b>Payment</b>	-  900001176  900001432

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<p>Before the refunding or depositing of the forced sale proceeds, the custodial fees payable to the ATHEXCSD Participant – Forced Sales for the relevant Securities are deducted along with the above-mentioned fee.</p> <p>From the proceeds of the sale:</p> <p>1. The ATHEX Member designated to conduct the sale is paid a fee of 0.35%, ATHEX expenses of 0.0125% and clearing expenses of 0.02%, calculated on the value of transactions of the stocks sold, per settlement day (record of order execution by an ATHEX Member).</p> <p>2. The 0.2% tax due on the value of sale transactions of the stocks sold is collected for remittance by ATHEXCSD to the Independent Authority for Public Revenue.</p> <p><b>VAT</b> Not payable</p>	
11	Fees for opening an issuer Share in the name of non-appearing beneficiaries for the purposes of a forced sale procedure	Issuers	<p><b>Fee</b> EUR 3 per Share</p> <p><b>Payment</b> Per month</p> <p><b>VAT</b> Not payable</p>	900001233
12	Transfers of securities on the instruction of an issuer for the purposes of a forced sale procedure	Issuers	<p><b>Fee</b> EUR 1 per security transfer</p> <p><b>Payment</b> Upon submission of the request</p> <p><b>VAT</b> Not payable</p>	900001234
<b>A0102. Initial Recording Service provided to Listed Issuers with immobilization<sup>i</sup></b>				
1	Initial recording of Securities with immobilization	Issuers	Fees by agreement	
<b>A0103. Initial Recording Service provided to Non-listed Issuers with dematerialization</b>				
1	Dematerialization of bonds of foreign issuers	Issuers	<p>Fees by agreement</p> <p><b>Payment</b> Upon submission of the request or completion of the recording</p>	



Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			<b>VAT</b> As applicable in each case	
<b>A0104. Initial Recording Service provided to Non-listed Issuers with immobilization</b>				
1	Initial Recording of Securities with immobilization	Issuers	Fees by agreement	
<b>A0105. Initial Recording Service provided to Exchange-Traded Fund Managers with dematerialization</b>				
1	Initial Recording of Securities, including conversion of physical securities into dematerialized securities as well as their issuance directly in dematerialized form	Managers	<b>Fee</b> 0.03% of the issue value Maximum: EUR 10 000 The issue value to which the scale is applied is equal to the product of the sale price (opening price) of each Exchange-Traded Fund (ETF) multiplied by the number of ETFs <b>Payment</b> On the first day of trading <b>VAT</b> Not payable	900000414
2	Maintenance of Manager and Securities data	Managers	No fee	
<b>A0106. Initial Recording Service provided to Exchange-Traded Fund Managers with immobilization</b>				
1	Initial Recording of Securities with immobilization	Managers	Fees by agreement	
<b>A0107. Initial Recording Service provided to Non-Exchange Traded Fund Managers with dematerialization</b>				
1	Initial Recording of Securities, including conversion of physical securities into dematerialized securities as well as their issuance directly in dematerialized form	Managers	Fees by agreement	
<b>A0108. Initial Recording Service provided to Non-Exchange Traded Fund Managers with immobilization</b>				
1	Initial Recording of Securities with immobilization	Managers	Fees by agreement	

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
<b>A02. Central Maintenance Service</b>				
1	Participant Account subscription fees for the recording of Securities	Participants	<p>An exemption from the fees provided herein shall apply to former Investment Firms/DSS Participants whose operating license has been revoked and which have gone into special liquidation pursuant to Law 4514/2018, as of the date of notification to ATHECSD of the Hellenic Capital Market Commission decision revoking the operating license of the Investment Firm/DSS Participant and placing the latter in special liquidation.</p> <p><b>Fee</b> EUR 250 per Participant per month</p> <p><b>Payment</b> Per month</p> <p><b>VAT</b> Not payable</p>	900001191
2a			<p>In addition, the following fees per Securities category:</p> <p><b><u>Securities for which ATHEXCSD is the Issuing Depository</u></b></p> <p><b>Fee</b> <u>Stocks</u> 0.0030% of the value of stocks per year Accounts with a portfolio value of less than EUR 15 000 are exempt from the fee</p>	900000092
2b			<p><u>Bonds, Options, ETFs and other Securities</u> 0.0015% of the value of securities per year Accounts with a portfolio value of less than EUR 15 000 are exempt from the fee</p> <p><b>Payment</b> Per month</p> <p><b>VAT</b> Not payable</p>	- 900000869
3			<p><b><u>Securities in the framework of ATHEXCSD Links</u></b></p> <p><b>Fee</b> See Table 1 of the Annex Subscription fees for foreign securities Subscription fees in the framework of links with Cyprus Stock Exchange</p>	- 900000070 900000066

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<p>Added monthly fee of €1.000 per Participant from 01.06.2023 for the maintenance of foreign securities (excluding securities which are parallelly listed on Athens Stock Exchange), except if there is a failure of transfer of specific securities to a custodian services provider. <sup>ii</sup></p> <p><b>Payment</b> Per month <b>VAT</b> Not payable</p>	900000070
4a	Subscription for keeping Securities in a Special Deceased Account	Beneficiaries of a deceased's Securities	<p><b>Fee</b> Stocks 0.0030% of the value of the stocks per year Accounts with a portfolio value of less than EUR 15 000 are exempt from the fee</p> <p><b>Payment</b> Upon completion of the succession procedure <b>VAT</b> 24%</p>	900000085
4b	Subscription for keeping Securities in a Special Deceased Account	Beneficiaries of a deceased's Securities	<p><b>Fee</b> Bonds, Options, ETFs and other Securities 0.0015% of the value of the securities per year Accounts with a portfolio value of less than EUR 15 000 are exempt from the fee</p> <p><b>Payment</b> Per month <b>VAT</b> 24%</p>	900000370

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
5a	Subscription for keeping Securities in a Provisional Transfer Account	Beneficiaries of Securities	<b>Fee</b> Stocks 0.0030% of the value of the stocks per year Accounts with a portfolio value of less than EUR 15 000 are exempt from the fee <b>Payment</b> Upon completion of the transfer procedure <b>VAT</b> Not payable	900000092
5b	Subscription for keeping Securities in a Provisional Transfer Account	Beneficiaries of Securities	<b>Fee</b> Bonds, Options, ETFs and other Securities 0.0015% of the value of the securities per year Accounts with a portfolio value of less than EUR 15 000 are exempt from the fee <b>Payment</b> Per month <b>VAT</b> Not payable	900000869
6	Fees for the opening of a Share (Account Actions)	Participants	<u>Opening-activation of a Share</u>	
7			No fee <u>Deletion-deactivation of a Client Securities Account<sup>iii</sup></u> <b>Fee</b>	900001170

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			<p>EUR 10 when the Client Securities Account is deactivated subject to the following conditions:</p> <ul style="list-style-type: none"> <li>The Client Securities Account is deactivated at the request of the Participant</li> <li>The deactivated Client Securities Account has been opened from 12.04.2021 onwards</li> </ul> <p><b>Payment</b> Per month</p> <p><b>VAT</b> Not payable</p>	
8			<p><b><u>Deletion-deactivation of a Clients Securities Account</u></b></p> <p>No fee</p> <p><b><u>Deletion-deactivation of an Own Securities Account<sup>iv</sup></u></b></p> <p>No fee</p>	-
9			<p><b><u>Reactivation of a Securities Account<sup>v</sup></u></b></p> <p><b>Fee</b> EUR 1 per Securities Account for which reactivation is requested by a Participant after expiry of the five-year period laid down</p> <p><b>Payment</b> Per month</p> <p><b>VAT</b> Not payable</p> <p>The fee for the reactivation of a Securities Account applies to Securities Accounts that were deleted after the Participants had been informed thereof by ATHEXCSD</p>	<b><u>900001305</u></b>
10			<p><b><u>Opening of Client Securities Account by a Participant</u></b></p> <p><b>Fee</b> EUR 3 per year per <b><u>Client Securities Account</u></b> with a balance of more than EUR 15 000</p> <p><b>Payment</b> Per month</p> <p><b>VAT</b> Not payable</p>	<b>900000093</b>
11			<p><b><u>Opening of a Clients Securities Account</u></b></p> <p><b>Fee</b> EUR 1 500 per year per Clients Securities Account</p>	<b>900001171</b>

### Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<u>The Opening of Clients Collateral Accounts is free of charge provided that the Account is used by a linked Market Infrastructure Operator.<sup>vi</sup></u>  <b>Payment</b> Per month  <b>VAT</b> Not payable	
12	Provision and maintenance of special securities accounts for transaction clearing purposes	Market Infrastructure Operators	No fee	
<b>A03. Settlement Service</b>				
<b>A0300. Participant Access Service</b>				
1	Examination of an application for the acquisition of Participant capacity	Participants	<b>Fee</b> EUR 2 000 lump sum If the Participant is a Market Infrastructure Operator: EUR 5 000 lump sum. Analysis of special requirements: EUR 60 per man-hour  <b>Payment</b> Upon submission of the application  <b>VAT</b> 24%	900001189
2a	Use of multilateral settlement and cash settlement mechanisms	Market Infrastructure Operators	<b>Fee</b> EUR 2 000 000 per year, plus  <b>Payment</b> Upon submission of the application or completion of the registration  <b>VAT</b> Not payable	900000064

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
2b	Use of multilateral settlement and cash settlement mechanisms	Market Infrastructure Operators	<b>Fee</b> EUR 1.35 per settlement instruction through the multilateral settlement system <b>Payment</b> Upon submission of the application or completion of the registration <b>VAT</b> Not payable	
3	Corporate events / transformations of Participants	Participants	<b>Fee</b> EUR 1 500 per request involving merger, absorption, resignation or other related corporate events and transformations <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900001190
4	Participant codes	Participants	<b>Fee</b> EUR 7 000 per year for each Participant code other than the first <b>Payment</b> Quarterly <b>VAT</b> 24%	900000486
5	Management of forced sale requests by ATHEXCSD for Securities held in Special Provisional Transfer Accounts of Shares of beneficiaries or Registered Intermediaries due to insolvency proceedings	Beneficiaries of Securities Accounts or Registered Intermediaries	<u><b>Forced sales through ATHEXCSD of securities of paragraph 6, article 30, Law 4569/2018</b></u> <b>Fee</b> 0.0325% of the forced sale value Minimum: EUR 500. If the sale value is less than EUR 500, the applicable fee will be equal to 20% of the sale value. <sup>vii</sup> Charge of EUR 0.5 per transaction notification <b>Payment</b>	-  900000826  900001232

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
	against the Participant that kept the relevant Securities on their behalf, or for another reason relating to the suspension or revocation of the Participant's operating license		<p>Before the refunding or depositing of the forced sale proceeds, the custodial fees payable to the "ATHEXCSD – Forced Sales" Participant for the relevant Securities are deducted along with the above-mentioned fee. Other expenses of ATHEXCSD in support of the forced sale procedure in accordance with Resolution 21 (e.g., direct notification of beneficiaries, publication of the forced sale announcement in the Press, etc.) are deducted from the forced sale proceeds with a proportionate charge to each beneficiary.<sup>viii</sup></p> <p>From the proceeds of the sale:</p> <p>1. The ATHEX Member designated to conduct the sale is paid a fee of 0.35%, ATHEX expenses of 0.0125% and clearing expenses of 0.02%, calculated on the value of transactions of the securities sold, per settlement day (record of order execution by an ATHEX Member).</p> <p>2. The 0.2% tax due on the value of sale transactions of the stocks sold is collected for remittance by ATHEXCSD to the Independent Authority for Public Revenue.</p> <p><b>VAT</b> Not payable</p>	
<b>A0301. Settlement on the instruction of a Market Infrastructure Operator</b>				
1	Multilateral settlement	Market Infrastructure Operators	<p><b>Fee</b> EUR 0.48 per settlement instruction</p> <p><b>Payment</b> Per month</p> <p><b>VAT</b> Not payable</p>	900000065
2	Physical delivery of securities resulting from settlement of derivative products	Participants	<p><b>Fee</b> EUR 0.50 per settlement instruction</p> <p><b>Payment</b> Per month</p> <p><b>VAT</b> Not payable</p>	900000231
3	Opening, modification or deletion of cash accounts in the ATHEXCSD Target 2 Ancillary System	Market Infrastructure Operators	No fee	
<b>A0302. Settlement on the instruction of Participants</b>				
1	Handling of settlement instructions	Participants	<p><u><b>Free of Payment settlement instructions involving:</b></u></p> <p><u><b>a. Transactions, b. Handling of settlement failure<sup>ix</sup></b></u></p> <p>For domestic securities</p> <p>For foreign securities</p>	<p>900000509</p> <p>900001335</p> <p>900000068</p>



## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<b>Fee</b> 0.0325% of the transfer value The transfer value shall be whichever is higher between the value reported by Participants and the value resulting from the closing price of the security on the transaction date Minimum: EUR 20 per transfer instruction. The minimum fee does not apply to transactions settled in a currency other than the Euro. <u>In the case of transfer of an unlisted Security, the value used for the calculation of fees shall be whichever is higher between the recorded value and the nominal value of the Security.</u> <b>Payment</b> Per day or per month (selected by Participant) <b>VAT</b> Not payable	900000870*
2			<b>Settlement instructions for lending-return of lending, provision of collateral, creation-redemption</b> <b>OTC Lending</b> <b>OTC Return of lending</b> <b>OTC Collateral</b> <b>OTC Return of collateral</b> <b>OTC Creation of DR</b> <b>OTC Redemption of DR</b> <b>OTC Fail rectification</b> <b>Fee</b> EUR 20 per transfer instruction per Security <b>Payment</b> Per day or per month (selected by Participant) <b>VAT</b> Not payable	900000510 900000511 900000512 900000513 900000516 900000508 900000515
3			<b>Transfer of securities of the same end beneficiary (No Change of Beneficial Ownership - formerly Re-registration)</b> <b>OTC NCBO transfers of securities of the same beneficiary</b> For domestic securities For foreign securities <b>Fee</b> EUR 20 per transfer instruction per Security	900000514 900000068 900000870* <sup>xi</sup>

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<b>Payment</b> Per day or per month (selected by Participant) <b>VAT</b> Not payable	
4			<u><b>Versus Payment settlement instructions</b></u> <b>Fee</b> Additional EUR 1 per transfer instruction <b>Payment</b> Per day or per month (selected by Participant) <b>VAT</b> Not payable	
5	Transfer of Securities between Participant Securities Accounts of the same Share	Participants other than Market Infrastructure Operators & beneficiaries of Securities	<b>Transfer of Securities from the CDLF</b> <b>Transfer of Securities between Participant Securities Accounts of the same Share (NCBO)</b> <b>Fee</b> EUR 1 per transfer instruction per Security The mass transfer of Securities between Participant Securities Accounts of the same Share is exempt from the fee in the framework of the procedure for the suspension/loss of capacity of Participant  Additional fee in an amount equal to 0.06% of the transfer value, on the basis of the last published closing price prior to the day of submission of the request, from which 0.4% is deducted in favor of the Member of the Trading Venue, to which the relevant sale was assigned in the case of: a) requests submitted out of time for the transfer of sold Securities to a Participant Securities Account b) requests for the transfer of sold Securities to a Participant Securities Account, for which the prescribed fees of ATHEXCSD were not paid in due time <sup>xiii</sup>  <b>Payment</b> Per day or per month (selected by Participant) <b>VAT</b> Not payable	900001177 900001173 900000870 <sup>xii</sup>  900001306

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
6	Transfers without tax (indicatively, donations or parental gifts for which the tax liability has been exhausted, contributions in kind after the issuance by the Independent Authority for Public Revenue (IAPR) of a tax exemption order, transfers from the usufructuary to the bare owner for the establishment of usufruct)	Participants	<b>Fee<sup>xiv</sup></b> 0.0325% of the value of the instruction per Security Minimum: The lowest of EUR 20 and 20% of the transfer value per transfer instruction The transfer value shall be whichever is higher between the value reported by Participants and the value resulting from the closing price of the security on the transaction date <u>In the case of transfer of an unlisted Security, the value used for the calculation of fees shall be whichever is higher between the recorded value and the nominal value of the Security</u>  <b>Payment</b> Per day or per month (selected by Participant) <b>VAT</b> Not payable	900001172
7	Transfer of Securities between Shares of Co-beneficiaries and Joint Investor Shares (JIS)	Participants	<b>Fee</b> EUR 20 per transfer instruction per Security <b>Payment</b> Per day or per month (selected by Participant) <b>VAT</b> Not payable	900001172
8	Transfer from/to a Clients Collateral Account	Participants	No fee	
<b>A0303. Settlement on the instruction of Participants through Provisional Settlement Accounts of Intermediaries</b>				
1	Bilateral settlement	Market Infrastructure Operators	<b>Fee</b> EUR 0.48 per settlement instruction <b>Payment</b> Per month <b>VAT</b> Not payable	900001423
<b>A0304. Settlement on the instruction of Participants in the framework of ATHEXCSD Links <sup>xv</sup></b>				

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
1	Settlement of transactions for the transfer of securities to and from the DSS	Participants	See Table 2 of the Annex OTC Purchase of Foreign Securities per settlement instruction OTC Sale of Foreign Securities OTC Transfer through a provisional account (a) <sup>xvi</sup>  <b>Foreign Securities</b> If the settlement instruction is not settled on settlement day or canceled by the Participant up until its day of settlement or later, the fee of Table 2 is doubled. The fee is doubled only if the settlement instruction is not settled on settlement day or canceled due to the fault of the Participant.  For the period between 01.12.2022 and 31.05.2023, the transfers from the DSS of foreign securities (excluding securities which are parallelly listed on Athens Stock Exchange) to a custodian services provider are exempted from the above fees, provided that they lead to the nullification of the remaining foreign securities per beneficiary. <sup>xvii</sup> <b>Payment</b> On the day following settlement or cancellation of the instruction. <b>VAT</b> Not payable	900000069 900000460 900000517
2			<b>Greek Government Securities</b> The fee of Table 2 is payable irrespective of whether the instruction is settled or not and additionally for each day of delay of its settlement and until it is subsequently settled or canceled. <b>Payment</b> On the day following settlement or cancellation of the instruction. <b>VAT</b> Not payable	900000069
A0305. Settlement of delivery and receipt of consideration on the instruction of Issuers or Fund Managers in the framework of implementation of corporate actions or acts <sup>xviii</sup>				
1	Exercise of warrants in accordance with Law 3864/2010, a share capital increase through cash payment, cash distribution, public offering, merger in exchange for cash or securities (domestic or foreign), offer of securities,	Participants	<b>Warrants</b> No fee	-
2			<b>Public offerings</b> <b>Fee</b> 0.08% of the transfer value, per transfer instruction Minimum: The lesser of EUR 20 and 20% of the transfer value, per transfer instruction.	900001237

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
	exchange of securities, such as stocks, mutual fund units, or related contributions as consideration		The transfer value shall be the product of the transferred quantity and the offering consideration. <sup>xix</sup>  <b>Payment</b> Upon completion of the event <b>VAT</b> Not payable	
3			<b><u>Squeeze-outs</u></b> <b>Fee</b> 0.08% of the transfer value, per transfer instruction <b>Payment</b> Upon completion of the event <b>VAT</b> Not payable	900000086
4			<b><u>Contribution of securities for exchange with mutual fund units</u></b> <b>Fee</b> 0.0325% of the transfer value, per transfer instruction based on the closing price on the transfer date <b>Payment</b> Per month <b>VAT</b> Not payable	900001148
A0306. Settlement of allocation operations in implementation of Electronic Book Building (EBB) procedures				
1	Multilateral settlement	Market Infrastructure Operators	No fee	
A0307. Settlement in the framework of pre-emption operations				
1	Multilateral settlement	Market Infrastructure Operators	See Multilateral Settlement Fee in “Settlement on the instruction of a Market Infrastructure Operator”	900000065
B01. Collateral Management Service for Market Infrastructure Operators and their Members as Participants				

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
1	Blocking or unblocking of securities in favor of a Market Infrastructure Operator	Market Infrastructure Operators	No fee	
<b>B02. Settlement matching, instruction routing, transaction confirmation, transaction verification</b>				
1	Actions relating to settlement instructions	Participants	No fee	
2	Cost of developing, maintaining and operating the penalty mechanism for settlement fails <sup>xx</sup>	Participants	<p>An exemption from the fees provided herein shall apply to former Investment Firms/DSS Participants whose operating license has been revoked and which have gone into special liquidation pursuant to Law 4514/2018, as of the date of notification to ATHECSD of the Hellenic Capital Market Commission decision revoking the operating license of the Investment Firm/DSS Participant and placing the latter in special liquidation.</p> <p><b>Fee</b>  EUR 250 one-time charge for the development of the penalty mechanism  EUR 10 monthly charge for the maintenance and operation of the penalty mechanism</p> <p><b>Payment</b>  Per month</p> <p><b>VAT</b>  24%</p>	900001322
<b>B03. Securities Financing Support Service</b>				
1	Declaration of intent for Securities Financing	Market Infrastructure Operators	No fee	
2	Securities Financing Transaction	Market Infrastructure Operators	<p><b>Fee</b>  EUR 0.48 per securities lending operation</p> <p><b>Payment</b>  Per month</p> <p><b>VAT</b>  Not payable</p>	900001425
<b>B04. Registry Service</b>				
1	Provision of beneficiary records	Issuers	<p><u>Complete file of beneficiaries</u></p> <p><b>Fee</b>  A scale is applied according to the total number of accounts in each file:</p>	900001203

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<p>From 1 to 250 EUR 0.10  From 251 to 1,000 EUR 0.08  From 1,001 to 5,000 EUR 0.06  5,001 and over EUR 0.04  Minimum: EUR 100 per file</p> <p><b>Payment</b>  <u>At the end of the month to which the file relates<sup>xxi</sup></u></p> <p><b>VAT</b>  24%</p>	
2			<p><u>File of beneficiaries in csv format</u></p> <p><b>Fee</b>  EUR 150 per month</p> <p><b>Payment</b>  Per month</p> <p><b>VAT</b>  24%</p>	900001204
3	Provision of transfer operation records	Issuers	<p><b>Fee</b>  EUR 0.10 per file entry  Minimum: EUR 20 per month</p> <p><b>Payment</b>  Per month</p> <p><b>VAT</b>  24%</p>	900000059
4	Provision of information on beneficiaries and transfer operations relating to past data	Issuers	<p><b>Fee</b>  EUR 60 per man-hour</p> <p><b>Payment</b>  Upon completion of processing the request and prior to data delivery</p> <p><b>VAT</b>  24%</p>	900001205
5		Issuers	<u>As a CSD</u>	-

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
	Conversion of identification request files and provision of shareholder identification files		<u>Conversion of identification request file to ISO 20022 format (Applicable per new file or file replacement or file cancellation by an Issuer)<sup>xxii</sup></u> <b>Fee</b> ATHEXCSD format: EUR 70 per shareholder identification request file (per ISIN and per beneficiary record date) Issuer format: ATHEXCSD format fee plus EUR 1 000 lump sum for implementation of a technical solution for processing the Issuer's format specifications <b>Payment</b> Per month <b>VAT</b> 24%	900001238 900001271
6		Issuers (of domestic securities/foreign securities) or Issuers' Providers <sup>xxiii</sup>	<u>Provision of a shareholder identification file</u> <b>Fee</b> EUR 0.10 per identification entry Minimum: EUR 100 Plus possible additional intermediary fees <b>Payment</b> Per month <b>VAT</b> 24%	900001183
7		Issuers	<b><u>As a Provider</u></b> <u>Conversion of identification request file to ISO 20022 format (Applicable per new file or file replacement or file cancellation by an Issuer)<sup>xxiv</sup></u> <b>Fee</b> ATHEXCSD format: EUR 50 per shareholder identification request file (per ISIN and per beneficiary record date) <b>Payment</b> Per month <b>VAT</b> 24%	900001240
8			<b><u>Intermediary on-boarding (Due Diligence)</u></b>	900001241



## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<b>Fee</b> EUR 10 per intermediary and per shareholder identification request (per ISIN and per beneficiary record date) <b>Payment</b> Per month <b>VAT</b> 24%	
9			<u>Provision of a shareholder identification file (partial or complete)</u> <b>Fee</b> If the Securities are held in Client Securities Accounts: EUR 0.10 per identification entry If the Securities are held in Clients Securities Accounts: EUR 0.60 per identification entry (gathering of data and their reconciliation for inclusion in the final file to the Issuer) Minimum: EUR 300 <u>In the event of provision of a shareholder identification file as part of the "ATHEXCSD Depository &amp; Technological Services Package", a discount of 30% will be applied to the final charge for provision of the identification file<sup>xxv</sup></u> Plus possible additional intermediary fees <b>Payment</b> <u>At the end of the month to which the file relates<sup>xxvi</sup></u> <b>VAT</b> 24%	900001242
10	Transmission of shareholder identification requests	Participants	<b>Fee</b> By email: No charge By FINPlus: EUR 100 lump sum per BIC registered for the service (no charge for Intermediaries using ISO15022 messaging services of ATHEXCSD) EUR 50 per month per registered BIC (no charge for Intermediaries using ISO15022 messaging services of ATHEXCSD) EUR 0.25 per outgoing ISO20022 message	900001243

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<b>Payment</b> Per month <b>VAT</b> 24%	
11	Updating and maintenance of Client Securities Account data	Beneficiaries of Securities in a Client Securities Account	No fee	
12	Change of Share category from Client Share to Fund Share or Public Body Share <sup>xxvii</sup> or Participant Own Share <sup>xxviii</sup>	Participants	<b>Fee</b> EUR 100 per request <b>Payment</b> Per month <b>VAT</b> 24%	900001295
13	Deactivation or deletion of Client Securities Accounts due to their consolidation	Beneficiaries of Securities in a Client Securities Account	<b>Fee</b> EUR 10 per request <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900001182
14	Issuance of certificates for all legal and tax purposes	Beneficiaries of Securities or Participants or Registered Intermediaries	No fee	
15	Handling of commitments due to safekeeping	Participants	<b>Fee</b> 10 € per security entry request on DSS per Security <b>Payment</b> Per month <b>VAT</b> 24%	900000089

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
16 <sup>xxix</sup>	Release of commitments due to safekeeping	Beneficiary	<b>Fee</b> 5 € per release of commitment in the account of the Participant of the debtor  <b>Payment</b> Per month  <b>VAT</b> 24%	900001521
B05. Service for corporate & other related actions				
1	Handling of corporate actions	Issuers	Corporate actions involving the registration of shares (such as, by way of indication, in cases of bonus issues, share capital increase with infusion of new capital, merger, refund in kind, conversion of bearer bonds into shares, stock options) Share capital increase by cash contribution Share capital increase by contribution in kind Share capital increase through distribution of free shares Share capital increase due to Merger Conversion of unlisted bonds Stock option plan Share capital increase through reinvestment <b>Fee</b>  A scale is applied based on the issue value:  From EUR 0 to EUR 3 000 000                      0.20% From EUR 3 000 000.01 to EUR 9 000 000        0.15% From EUR 9 000 000.01 to EUR 15 000 000    0.10% EUR 15 000 000.01 and over                      0.05% Minimum: EUR 3 000 per registration The issue value to which the scale is applied is calculated as follows: a) For shares resulting from an increase in share capital, the issue value is equal to the product of the subscription price multiplied by the number of shares, whereas if capital is increased through distribution of free shares, the issue value is equal to the product of the nominal value of each share multiplied by the number of shares. b) If the shares to be listed have no nominal value on the basis of the applicable governing law or no subscription price, as per the provisions of point a), the issue value is equal to the product of the starting price of the shares multiplied by their number.  <b>Payment</b>  By completion of the registration except in cases of merger	900000071 900000386 900000424 900000387 900000034 900000388 900000423 900001207

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<b>VAT</b> Not payable	
2			<b><u>Corporate actions involving bond registration</u></b> <b>Fee</b> EUR 3 000 per bond, plus: A scale is applied based on the nominal value of the bond issue: From EUR 0 to EUR 40 000 000                      0.025% From EUR 40 000 000.01 to EUR 100 000 000                      0.020% From EUR 100 000 000.01 to EUR 150 000 000                      0.015% EUR 150 000 000.01 and over                      0.005% The issue value to which the scale is applied is equal to the product of the subscription price of each bond multiplied by the number of bonds. <b>Payment</b> Upon submission of the request or completion of the registration <b>VAT</b> Not payable	900001206
3			<b><u>Corporate actions with a change to the number or category of securities already registered</u></b> , such as, by way of indication, in cases of: Stock split Reverse split Share capital reduction Conversion of preferred shares into ordinary shares Cancellation of own shares & bonds <b>Fee</b> Stocks, certificates and depositary receipts, ETFs:                      EUR 0.10 per Account entry Bonds:                      EUR 0.05 per Account entry Minimum: EUR 100 <b>Payment</b> Upon submission of the request or by completion of the registration <b>VAT</b> Not payable	900000079 900000389 900000080 900001207 900001208
4			<b><u>Corporate actions with options without registration of new securities</u></b> (such as, by way of indication, the provision of squeeze-out services)	900000341

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
		Proposers	<b>Fee</b> EUR 0.15 per participation instruction or transfer instruction in respect of the corporate action <sup>xxx</sup> Minimum: EUR 500 <b>Payment</b> By completion of the registration <b>VAT</b> 24%	
5a			<b><u>Corporate actions with options and performance of calculations by ATHEXCSD:</u></b> a) In cases of provision of cross-border merger services and related actions: <b>Fee</b> EUR 0.20 per participation instruction or transfer instruction in respect of the corporate action <sup>xxxi</sup> Minimum: EUR 12 000 <b>Payment</b> By completion of the registration <b>VAT</b> 24%	900001245
5b		Issuers	b) in cases of provision of services for the calculation of a dividend reinvestment, public offering and related actions: <b>Fee<sup>xxxi</sup></b> 1. From 1 to 500 participation instructions or transfer instructions in respect of the corporate action, EUR 1 000 2. From 501 to 1,000 participation instructions or transfer instructions in respect of the corporate action, EUR 2 000 3. From 1,001 to 5,000 participation instructions or transfer instructions in respect of the corporate action, EUR 4 000 4. From 5,001 to 10,000 participation instructions or transfer instructions in respect of the corporate action, EUR 8 000 5. 10,001 and more participation instructions or transfer instructions in respect of the corporate action, EUR 12 000  <b>Payment</b> By completion of the registration <b>VAT</b> 24%	900001246

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
6			<b>Corporate actions with options and without calculations by ATHEXCSD</b> (such as, by way of indication, in cases of provision of reinvestment services): <b>Fee</b> EUR 0.15 per beneficiary Minimum: EUR 9 000 <b>Payment</b> By completion of the registration <b>VAT</b> 24%	900001248
7			<b>Corporate actions with registration of warrants or certificates and depositary receipts</b> <b>Fee</b> 0.025% of the issue value Minimum: EUR 3 000 The issue value is equal to the product of the subscription price (starting price) of each security multiplied by the number of securities <b>Payment</b> Upon submission of the request or completion of the registration <b>VAT</b> Not payable	900001209
8			<b>Corporate actions with registration of ETF units</b> <b>Fee</b> 0.025% of the issue value Maximum: EUR 10 000 The issue value is equal to the product of the subscription price (starting price) of each ETF multiplied by the number of ETFs <b>Payment</b> Upon submission of the request or completion of the registration <b>VAT</b> Not payable	900000189
9			<b>Corporate actions with options and registration of new Securities</b> (such as, by way of indication, in cases of) exercise of warrants Conversion of listed bonds to shares <b>Fee</b>	900001210 900000072

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<p>EUR 0.05 per beneficiary Minimum: EUR 1 000</p> <p><b>Payment</b> By completion of the corporate action</p> <p><b>VAT</b> Not payable</p> <p><b><u>Corporate actions involving secondary Securities listed on ATHEX</u></b></p> <p>With regard to that portion of Securities allocated to beneficiaries and monitored by book entries via the DSS, the above charges apply reduced by half</p>	-       <b>900001211</b>
10			<p><b><u>Cash distributions by a paying bank<sup>xxxiii</sup></u></b></p> <p><b>Fee</b> EUR 0.15 per beneficiary Minimum: EUR 100</p> <p><b>Payment</b> Upon submission of the request</p> <p><b>VAT</b> 24%</p>	<b>900000062</b>
11			<p><b><u>Cash distribution by ATHEXCSD</u></b></p> <p><b>Fee</b> In addition to the fee for cash distributions by a paying bank with the provision of cash payment files (EUR 0.15 per beneficiary) a scale of charges is applied according to the total number of accounts included in each cash payment file: From 1 to 5,000            EUR 0.05 From 5,001 to 10,000    EUR 0.04 10,001 and above        EUR 0.02 Minimum: EUR 100 (including the minimum fee for cash distribution by a paying bank)</p> <p><b>Payment</b> Upon submission of the request or by completion of the payment</p> <p><b>VAT</b>       24%</p>	<b>900000347</b>

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
12			<p><b>Cash distributions by a Paying Bank due to sales of fractional shares <sup>xxxiv</sup></b></p> <p>Fee</p> <p>EUR 0.05 per beneficiary</p> <p>Minimum: EUR 100</p> <p>Payment</p> <p>Upon submission of the request or by completion of the payment</p> <p>VAT</p> <p>24%</p>	900001250
13			<p>Cash distributions by ATHEXCSD due to the sale of fractional shares or cash payments by ATHEXCSD to the CDLF due to sales of Securities of no-show beneficiaries (including the distribution of amounts to Participants or the lodging of a deposit)<sup>xxxv</sup></p> <p>Fee</p> <p>EUR 0.05 per beneficiary</p> <p>Minimum: EUR 200</p> <p>Payment</p> <p>Upon submission of the request or by completion of the payment</p> <p>VAT</p> <p>24%</p>	900001212



## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
14			<p><u>Lodgment of a cash deposit at the Consignment Deposits and Loans Fund (CDLF) by ATHEXCSD, in cases of cash distributions of Issuers, for which ATHEXCSD performs the cash settlement, as follows:</u><sup>xxxvi</sup></p> <p>1) on behalf of deceased beneficiaries, whose Securities are held in the Deceased's Account of their Share in the DSS: after the lapse of one (1) year from the payment date,</p> <p>2) on behalf of beneficiaries, whose Securities are held in a CDLF Securities Account in the Shares of beneficiaries.</p> <p><b>Fee</b> EUR 100 <b>Payment</b> Upon completion of lodgment of the deposit <b>VAT</b> 24%</p>	900001307
15	Contribution of shares for exchange with mutual fund units	Participants	<p><b>Fee</b> EUR 1 000 lump sum per mutual fund EUR 100 per month and per mutual fund <b>Payment</b> Per month <b>VAT</b> 24%</p>	900001144 900001145
16	Instructions for participation in corporate actions or operations	Participants	<p><b>Fees</b> Warrants: EUR 0.50 per exercise instruction Exchange of shares with mutual fund units: EUR 0.50 per contribution instruction <b>Payment</b> Per month <b>VAT</b> As applicable in each case</p>	900000374 900001146
17	Cash distribution recalculation	Participants, Issuers	<p>Recalculation by Participants Recalculation by Issuers <b>Fee</b> EUR 100 per recalculation request and per Beneficiary <b>Payment</b></p>	900000525 900001213

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			Upon submission of the request <b>VAT</b> Not payable	
18	Creation of allocation file	Issuers	<b>Fee</b> EUR 60 per man-hour <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900001214
19	Voluntary deletion of <b>Securities</b> from ATHEXCSD <sup>xxxvii</sup>	Issuers	<b>Listed Securities</b>  <b>Fee</b> EUR 25 000 per <b>voluntary</b> deletion <b>Payment</b> Upon submission of the request  <b>VAT</b> 24% €	900001215
			<b>Non-Listed Securities</b>  <b>Fee</b> Upon agreement  <b>Payment</b> Upon submission of the request  <b>VAT</b> Depending on the case	
20	Management of General Meeting in the DSS	Issuers	No fee	
21	Issuance of a file of persons entitled to participate in a General Meeting/Meeting of Bondholders	Issuers	<b>Fee</b> A scale is applied based on the total number of accounts included in each file: From 1 to 250                      EUR 0.10 From 251 to 1,000                EUR 0.08	900001283

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			From 1,001 to 5,000 EUR 0.06 5,001 and above EUR 0.04 Minimum: EUR 100 per file <b>Payment</b> At the end of the month to which the file relates <b>VAT</b> 24% <u>In the event that the Issuer requests from ATHEXCSD – in the latter’s capacity as either a CSD or a Provider of the Standardized Services of ATHEXCSD Resolution 8 – the provision of a “File of persons entitled to participate in a General Meeting of Shareholders/Bondholders” and the full identification of such persons by means of a “Shareholder Identification File” for the same record date, it will not be required to pay the fee foreseen for the “File of persons entitled to participate in a General Meeting of Shareholders/Bondholders”.<sup>xxxviii</sup></u>	
22	Generation of files of blocked securities for a General Meeting of the Alternative Market or of Bondholders / Unblocking	Issuers	No fee	
23	Provision of a meeting hall and related support services	Issuers	Fees subject to agreement	
24	Gathering of email addresses and mobile phone numbers of beneficiaries of Shares in the DSS and forwarding of these details to Issuers in order to facilitate the participation and exercise of the rights of their shareholders in a remote General Meeting	Issuers	<b>Fee</b> EUR 0.10 per entry in the file of data provided (email and mobile phone number) per beneficiary <b>Payment</b> Upon submission of the request or by completion of the event <b>VAT</b>  24%	900001216
25	Translation into English of the information concerning the General Meetings or other corporate actions	Issuers	<b>As a Provider</b> <b>Fee</b> Special Request Service Fees Minimum: EUR 50	900001217

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			Plus additional translation agency fee <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	
26	Conversion of files to ISO 20022 or 15022 format with regard to information about GMs or other corporate actions	Issuers	<b>As a CSD</b> <u>File conversion (applicable per new file or file replacement or file cancellation by the Issuer)</u> <b>Fee</b> ATHEXCSD format: EUR 70 per GM file EUR 50 per file of other corporate events Issuer's format: ATHEXCSD format fee plus EUR 1 000 lump sum for the implementation of a technical solution for processing the Issuer's format specifications <b>Payment</b> Upon submission of the request or by completion of the corporate event <b>VAT</b> 24%	-  <b>900001009</b>  <b>900001010</b>
27			<b>As a Provider</b> <u>File conversion (applicable per new file or file replacement or file cancellation by the Issuer)</u> <b>Fee</b> ATHEXCSD format: EUR 50 per GM file EUR 30 per file of other corporate events <b>Payment</b> Upon submission of the request or by completion of the corporate event <b>VAT</b> 24%	<b>900001013</b> <b>900001014</b>
28	Transmission of information about GMs and other corporate events	Participants	<b>Fee</b> By email: No fee By DSS API: No fee By FINPlus:	

### Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<p>EUR 100 lump sum per BIC registered for the service (no charge for Intermediaries using ISO15022 messaging services of ATHEXCSD)</p> <p>EUR 50 per month per registered BIC (no charge for Intermediaries using ISO15022 messaging services of ATHEXCSD)</p> <p>EUR 0.25 per outgoing ISO20022 message</p>	<p><b>900001293</b></p> <p><b>900001294</b></p> <p><b>900001251</b></p>
			<p>By ISO15022 messages:</p> <p>EUR 100 lump sum per BIC registered for the service (no charge for Intermediaries using ISO20022 messaging services of ATHEXCSD)</p> <p>EUR 50 per month per registered BIC (no charge for Intermediaries using ISO20022 messaging services of ATHEXCSD)</p> <p>EUR 0.06 per outgoing ISO15022 message</p> <p><b>Payment</b></p> <p>Upon submission of the request or by completion of the corporate event</p> <p><b>VAT</b></p> <p>24%</p>	<p><b>900001293</b></p> <p><b>900001294</b></p> <p><b>900001251</b></p>
29	Conversion of files to ISO 20022 format with regard to information on confirmation of receipt of votes and confirmation of recording and counting of votes in GMs	Issuers	<p><b>As a CSD</b></p> <p><u>File conversion (applicable per new file or file replacement or file cancellation by the Issuer)</u></p> <p><b>Fee</b></p> <p>ATHEXCSD format:</p> <p>EUR 0.30 per entry in the file of confirmation of receipt of votes or the file of confirmation of recording and counting of votes, which are sent by the Issuer, on the basis of which a file per shareholder is subsequently generated.</p> <p>Minimum: EUR 50</p> <p>Issuer's format:</p> <p>ATHEXCSD format fee plus EUR 1 000 lump sum for the implementation of a technical solution for processing the Issuer's format specifications.</p> <p><b>Payment</b></p> <p>Upon submission of the request or by completion of the corporate event</p> <p><b>VAT</b></p> <p>24%</p>	<b>900001012</b>

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
30	Transmission of information, from Participants to Issuers, providing notification of shareholder participation in GMs or confirming shareholders' rights	Issuers	<b>Fee</b> EUR 0.30 per incoming ISO20022, ISO15022 message or email <b>Payment</b> Upon submission of the request or by completion of the corporate event <b>VAT</b> 24%	900001218
<u>31<sup>xxxix</sup></u>	Blocking and Unblocking of Securities for GMs	Participants	No fee	
32	Transmission of information, from Issuers to Participants, concerning receipt of votes and confirmation of recording and counting of votes in a GM	Participants	<b>Fee</b> By email: No fee By FINPlus: EUR 100 lump sum per BIC registered for the service (no charge for Intermediaries using ISO15022 messaging services of ATHEXCSD) EUR 50 per month per registered BIC (no charge for Intermediaries using ISO15022 messaging services of ATHEXCSD) EUR 0.25 per outgoing ISO20022 message <b>Payment</b> By completion of the corporate event <b>VAT</b> 24%	900001293  900001294 900001252
33	Provision of services in the framework of SRDII by agreement (indicatively, exchange of GM information)	Intermediary Providers	Fees by agreement <b>Payment</b> By completion of the corporate event <b>VAT</b> 24%	900001253
34	Handling of commitments: a. Minority rights b. Exercise of right of first refusal	Participants	<b>Fee</b> 10 € per security entry request on DSS per Security. The commitment to exercise a right of first refusal in cash is provided free of charge.  <b>Payment</b> Per month <b>VAT</b> 24%	900000089

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
35 <sup>xl</sup>	Release of commitments: a. Minority rights b. Exercise of right of first refusal	Participants	<b>Fee</b> 5 € per release of commitment in the account of the Participant of the debtor. The following are provided free of charge: release of commitment for the exercise of a right of first refusal in cash  <b>Payment</b> Per month <b>VAT</b> 24%	900001174
<b>B06. Bondholder Representative Service</b>				
1	Monitoring of issuer's obligations in accordance with the Loan Agreement and Terms	Issuers	Fee subject to agreement <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900000746
2	Serving bondholder requests	Issuers	No fee	
3	Holding of bondholder meetings	Issuers	Fee subject to agreement <b>Payment</b> Upon submission of the request or completion of the corporate event <b>VAT</b> 24%	900000746
4	Calculation of coupon payments / repayments	Issuers	Fee subject to agreement <b>Payment</b> Upon submission of the request or completion of the corporate event <b>VAT</b> 24%	900000746
<b>B07. Securities Coding Service</b>				
<b>Securities Code Service</b>				
1	Issuance of ISIN, FISN, CFI codes for securities	Issuers	<b>Fee</b> EUR 50 for each new or modified ISIN, CFI and FISN code assigned No fee in cases where modification of any of the codes or issuance of a new one is required for implementation of a corporate action or due to updating of ISO standards <b>Payment</b> Upon submission of the request	900000758

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			<b>VAT</b> 24%	
<b>B08. Link Provision Service</b>				
1	Provision of central maintenance services, settlement services, corporate action services, tax services and other collection services in the framework of ATHEXCSD Links	Participants	See charges in the respective sections of the Fee Schedule	
2	Extraordinary Charges of Providers <sup>xli</sup>	Participants	<u>OTC extraordinary charges and fines imposed due to the fault of a Participant</u> <u>Extraordinary charges in ADR &amp; stock certificate splits</u> ESES Floor Value Gap Fee <b>Fee</b> ATHEXCSD Provider's fee plus 10% <b>Payment</b> Per month <b>VAT</b> Not payable	900000828 900000884 900001420
<b>B09. Collateral Management Service as a general service &amp; Other Encumbrances</b>				
<b>B0901. Collateral Management Service<sup>xlii</sup></b>				
1	Management of collateral a. pledges b. usufructs c. options d. financial security interests (liens) g. blocking for the collateral portfolio	Participants	<b>Fee</b> EUR 10 per request for collateral registration in the DSS per Security. There is no charge for the <b>provision of the</b> following: g. blocking for the collateral portfolio  <b>Payment</b>	900000089



## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
	h. blocking for a declaration of intention to transfer or create an encumbrance		Per month <b>VAT</b> 24%	
2	<p>Release of collateral</p> <p>a. pledges</p> <p>b. usufructs</p> <p>c. options</p> <p>d. financial security interests (liens)</p> <p>g. blocked for the collateral portfolio</p> <p>h. blocked for a declaration of intention to transfer or create an encumbrance</p>	Participants	<p><b>Fee</b></p> <p>EUR 5 per release of collateral to the debtor's Participant account.</p> <p>There is no charge for the following:</p> <p>g. unblocking for the collateral portfolio</p> <p>h. unblocking for a declaration of intention to transfer or create an encumbrance<sup>xliii</sup></p> <p><b>Payment</b></p> <p>Per month</p> <p><b>VAT</b></p> <p>24%</p>	900001174
3	Management of collateral (pledges, financial securities in rem and other encumbrances)	Lender	<p><b>Fee</b></p> <p>Encumbrance creation on request</p> <p>Encumbrance extension on request</p>	<p>900000083</p> <p>900001178</p>

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			EUR 20 per security blocking in the DSS Maximum: EUR 100 per security blocking request  Correction of encumbrance on request Assignment of collateral on request EUR 20 per encumbrance Minimum: EUR 100 per request <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900001179 900001180
4	Release of collateral (pledge and other encumbrances for Securities Beneficiaries)	Lender	<b>Fee</b> EUR 10 per release of security to the debtor's Participant account <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900001181
5	Realization or acquisition of a financial security interest and pledge for Securities Beneficiaries	Lender	<b>Fee</b> EUR 50 per transfer of security to the lender's Participant account <b>Payment</b> Upon submission of the request <b>VAT</b> Not payable	900001184
6	Handling of forced sale requests by ATHEXCSD	Enforcement creditors	<u><b>Compulsory Public Auctions – Forced sales of par. 3 and 5, article 20, Law 4569/2018</b></u> <b>Fee</b> 0.0325% of the forced sale value Minimum: EUR 200 Charge of EUR 0.5 per transaction notification <b>Payment</b>	900001255 900001256

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
			<p>Before the refunding or depositing of the forced sale proceeds, the custodial fees payable to the ATHEXCSD Participant – Forced Sales for the relevant Securities are deducted along with the above-mentioned fee.</p> <p>From the proceeds of the sale:</p> <p>1. The ATHEX Member designated to conduct the sale is paid a fee of 0.35%, ATHEX expenses of 0.0125% and clearing expenses of 0.02%, calculated on the value of transactions of the securities sold, per settlement day (record of order execution by an ATHEX Member).</p> <p>2. The 0.2% tax due on the value of sale transactions of the stocks sold is collected for remittance by ATHEXCSD to the Independent Authority for Public Revenue.</p> <p><b>VAT</b> Not payable</p>	
<b>B0902. Usufruct Management Service</b>				
1	Usufruct management	Beneficiaries of Securities	<p><b>Fee</b></p> <p><u>Creation</u></p> <p>0.04 % of the value of the usufruct per party</p> <p>Minimum EUR 10 per request and per party</p> <p><u>Correction of conditions</u></p> <p>EUR 20 per blocked security due to usufruct, per party</p> <p>Minimum EUR 100 per request and per party</p> <p><b>Payment</b></p> <p>Upon submission of the request</p> <p><b>VAT</b> Not payable</p>	900000076
2	Release of usufruct	Beneficiaries of Securities	<p><b>Fee</b></p> <p>EUR 5 per release of usufruct</p> <p><b>Payment</b></p> <p>Upon submission of the request</p> <p><b>VAT</b> 24%</p>	900001185
<b>B0903. Attachment Registration Service</b>				
1	Attachment management (blocking of Securities)	Participants	<p><b>Fee</b></p> <p>EUR 5 per request for blocking securities in the account of the Participant. Blockings in favor of the Greek State are exempt from the fee.</p> <p>Unblocking of Securities is provided free of charge.</p>	900001175

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			<b>Payment</b> On a monthly basis <b>VAT</b> 24%	
2	Attachment management (acquisition of ownership)	Enforcement creditors	<b>Fee</b> EUR 50 per ownership acquisition request with unblocking of Securities in the Participant account. There is no charge for requests <sup>xliv</sup> in favor of the Greek State <sup>xlv</sup> <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900001186
3	Management of requests for forced sale of attached securities	Enforcement creditors	<b>Fee</b> EUR 50 per forced sale request <b>Payment</b> Upon submission of the request <b>VAT</b> Not payable	900001184
<b>B11. Information Technology Services</b>				
<b>B1101. Access to the Dematerialized Securities System through the DSS Client application of ATHEXCSD, through Application Programming Interfaces (API), via ATHEXNet, Internet, SWIFTNet &amp; additional access services</b>				
1	Connecting users to the DSS	Participants	<b>Fee</b> The first two (2) DSS user licenses per Participant are provided free of charge. . Participants that have the Derivatives Market Clearing Member capacity are entitled, free of charge, to an additional four (4) DSS user licenses. For each DSS user license in addition to the above, the monthly fee is EUR 100. Where DSS user licenses are returned/canceled by a Participant-Clearing Member, such Member will be refunded the fee paid for the period that the license was not used. Where additional DSS user licenses are activated by a Participant-Clearing Member, the Member will be charged on a pro rata basis for the period of their use.  An exemption from the above fees shall apply to former Investment Firms/DSS Participants whose operating license has been revoked and which have gone into special liquidation pursuant to Law 4514/2018, as	900000209

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			of the date of notification of the Hellenic Capital Market Commission decision revoking the operating license of the Investment Firm/DSS Participant and placing the latter in special liquidation.  <b>Payment</b> Quarterly <b>VAT</b> 24%	
2	Use of DSS resources (queries, upload / download of files)	Participants	No fee	
3	Access to the DSS via API	Participants	<b>Fee</b> EUR 4 000 per Participant code per year <b>Payment</b> Quarterly <b>VAT</b> 24%	900000211
4	Installation & Configuration of the DSS-API	Participants	<b>Fee</b> EUR 250 for each installation and configuration of a DSS-API system <b>Payment</b> Lump sum <b>VAT</b> 24%	900000201
5	Provision of DSS information and data for the purposes of supervising transactions	Trading Venue Operators	No fee	
6	Use of DSS resources	Participants	No fee	
7	Technical management of the node (main site) and accompanying two leased lines (primary and backup)	Participants	<b>Fee</b> Geographical area of Greece: EUR 50 per month Geographical area outside Greece: EUR 150 per month <b>Payment</b> Quarterly <b>VAT</b>	900000204

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			24%	
8	Provision of technical support (e.g. by phone or email, drafting of additional technical instructions) for the development of DSS applications	Participants, Software Providers	<b>Fee</b> EUR 250 per ATHEXNet User per month <b>Payment</b> Quarterly <b>VAT</b> 24%	900001260
9	Node connection activation and deactivation (disaster recovery site)	Participants	<b>Fee</b> EUR 100 per activation / deactivation, plus EUR 30 per month per declared terminal <b>Payment</b> Lump sum <b>VAT</b> 24%	900000201
				900000210
10	Access to the DSS from the Participant's Intranet or Internet	Participants	<b>Fee</b> <ul style="list-style-type: none"> <li>• EUR 0.125 per SMS for sending a connection password if the SMS message is sent to a mobile phone number belonging to a mobile network in Greece or Cyprus</li> <li>• EUR 0.25 per SMS for sending a connection password if the SMS message is sent to a mobile phone number belonging to another foreign network</li> </ul> <b>Payment</b> Quarterly <sup>xlvi</sup> <b>VAT</b> 24%	900000702

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
11	ISO15022 Message Exchange Subscription Service via SWIFTNet	Participants	<b>Fee<sup>xlviii</sup></b> <u>For the Settlement service</u> <u>For the Transmission of information about GMs and other corporate events service</u> <u>EUR 0.06 per outgoing ISO15022 message</u>  EUR 100 lump sum payment per BIC registered for the service (no charge for Intermediaries using ISO20022 messaging services of ATHEXCSD) EUR 50 per month per registered BIC (no charge for Intermediaries using ISO20022 messaging services of ATHEXCSD) <b>Payment</b> Per month <b>VAT</b> 24%	<b>900001261</b> <b>900001251</b>  <b>900001293</b> <b>900001294</b>
12	DSS availability outside business days or hours	Participants	<b>Fee</b> EUR 180 per man-hour This charge does not apply to DSS availability outside business days or hours in the framework of the procedure for the termination/loss of capacity of Participant <sup>xlviii</sup> <b>Payment</b> Upon submission of request <b>VAT</b> 24%	<b>900000201</b>
13	Access to the DSS test environment provided to Software Providers	Software Providers	<b>Fee</b> EUR 1 000 per request <b>Payment</b> Upon submission of request <b>VAT</b> 24%	
14	Development of DSS API on request	Participants	<b>Fee</b> EUR 180 per required man-hour <b>Payment</b> Upon submission of request <b>VAT</b> 24%	<b>900000201</b>

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
<b>B1102 JIRA Service Desk</b>				
1	Provision of and support for JIRA Service Desk work environment	Participants, Issuers, Consignment Deposits and Loans Fund, Hellenic Capital Market Commission	No fee	
2	Provision of and support for JIRA Service Desk work environment	Paying Banks	<b>Fee</b> EUR 100 lump sum for subscribing to the service EUR 20 monthly fee per paying bank <b>Payment</b> Per month <b>VAT</b> 24%	900001219
<b>B1103. AxiaLine</b>				
1	Provision of and support for AxiaLine work environment	Issuers	No fee	
<b>B1104. AXIAweb</b>				
1	Provision of and support for AXIAweb work environment	Beneficiaries of Securities	No fee	
<b>B1105. InBroker/MarketOrder</b>				
1	Provision of and support for InBroker software	Any interested parties	Fees by agreement <b>Payment</b> Per month <b>VAT</b> 24%	
2	Provision of and support for MarketOrder software	Any interested parties	Fees by agreement <b>Payment</b> According to the agreement <b>VAT</b> 24%	



Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
<b>B1106. AXIA e-Shareholders' Meeting</b>				
1	Provision of services to issuing companies for conducting remote General Meetings in real time by using electronic means	Issuers	Fees by agreement <b>Payment</b> According to the agreement <b>VAT</b> 24%	900000902
2	Provision of services in the framework of SRDII by agreement (indicatively, exchange of information about GMs)	Intermediary Providers	Fees by agreement <b>Payment</b> According to the agreement <b>VAT</b> 24%	900001249
<b>B1107. EBB</b>				
1	Provision of software for Electronic Book Building purposes	Companies, Underwriters, Consultants	Fees by agreement <b>Payment</b> Upon submission of the request or by completion of the event <b>VAT</b> 24%	900000757
<b>B1108. Provision of and support for software systems &amp; accompanying services</b>				
1	Transaction Systems/Transaction Clearing Systems	Market Infrastructure Operators, Electricity and/or Gas Transmission Operators	Fees by agreement <b>Payment</b> According to the agreement <b>VAT</b> 24%	
2	Transaction settlement and/or registration systems	Market Infrastructure Operators, Electricity and/or Gas Transmission Operators	Fees by agreement <b>Payment</b> According to the agreement <b>VAT</b> 24%	
<b>B1109. Shared Access Points</b>				
1	Provision and use of Shared Access Points	Participants	<b>Fees</b> EUR 200 for the 1st terminal	900000251

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			EUR 100 for the 2nd and up to the 6th terminal EUR 0 for the first day of use of the space EUR 200 for each day of use of the space beyond the first day <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	
<b>B1110. Hosting - Co-location</b>				
1	Hosting of physical (hardware) or virtual equipment & applications and provision of support infrastructure & services <sup>xlix</sup>	Participants or third-party companies	Fees by agreement <b>Payment</b> According to the agreement <b>VAT</b> 24%	
<b>B1111. AXIAlei</b>				
1	Issuance or renewal of LEI codes	Legal persons	<b>Fee</b> EUR 64 for each new code or for each code renewal There is no charge in cases of code transfer or changes to data <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900000418 900000419
<b>B1112. Services in support of allocation of Greek Government Bonds &amp; Treasury Bills</b>				
1	Services in support of allocation of Greek Government Bonds & Treasury Bills	Hellenic State	<b>Services in support of allocation of Greek Government Bonds &amp; Treasury Bills<sup>l</sup></b> <b>Fee</b> 0.04% of the nominal value of the issue registered in the DSS <b>Payment</b> Upon submission of the request or by completion of registration <b>VAT</b> 24%	900000096
<b>B12. Succession Service</b>				

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
1	Legitimation of heirs	Beneficiaries of a deceased's Securities	<b>Fee</b> EUR 30 per heir <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900000081
2	Transfers due to succession	Beneficiaries of a deceased's Securities	<b>Fee</b> 0.04% of the transfer value per transfer instruction Minimum: Per security transfer instruction, the lowest of EUR 20 or 20% of the instruction value <b>Payment</b> Upon submission of the request <b>VAT</b> Not payable	900000078
3	Provision of confirmation of Security closing prices <sup>ii</sup>	Beneficiaries of Securities	<b>Fee</b> From 1-20 securities            EUR 5 For 21 securities and above    EUR 10 The above fee is per requested date. There is no additional fee for personal receipt of the data or receipt of the data via the Participant (delivery via JIRA). Additional EUR 1.50 for sending the data by email or post. The confirmation is provided to beneficiaries for any lawful purpose. Confirmation is provided to Public Bodies free of charge. <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900001329

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
<b>B13. Tax and Other Collection Services</b>				
1	Filing and remittance of sales tax on transactions settled within the Depository	Participants	<b>Fees</b> No charge for timely payment of tax EUR 100 per overdue payment of transaction sales tax <b>Payment</b> Upon dispatch of the file <b>VAT</b> 24%	900001263
2	Filing and remittance of sales tax with a declaration, in respect of transactions settled outside the Depository (Internalized Settlements)	Participants	<b>Fee</b> No charge for timely declaration and remittance of sales transaction tax EUR 100 per overdue declaration or payment of sales transaction tax <b>Payment</b> Per month <sup>lii</sup> <b>VAT</b> 24%	900001264
3	Issuance of cash distribution certificates	Participants	No fee	
4	Tax reclaim for cash distributions of foreign securities	Participants	<u>All supported markets except those specified below</u> <b>Fee</b> ATHEXCSD Provider fee plus 10% <b>Payment</b> Upon billing of ATHEXCSD by the Provider <b>VAT</b> 24%	900001265
5			<u>Tax reclaim for Belgian market through a paying agent</u> <b>Fee</b> EUR 150 per beneficiary request plus any fees of the paying agency charged to ATHEXCSD <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900001266

## Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
6	Request for reimbursement of a Sales Tax amount from the Independent Authority for Public Revenue (IAPR) <sup>liii</sup>	Participants	<b>Fee</b> EUR 100 Applicable only to cases in which the claim for reimbursement of the difference between the amount of tax owed and the amount of tax paid following a relevant correction to the tax declaration or the submission of files cancelling or replacing the tax payment declaration, as the case may be, cannot be satisfied by offsetting against future amounts payable; <b>Payment</b> Upon completion of the tax reimbursement <b>VAT</b> 24%	900001308
7	Correction of Sales Tax due to correction of operation reason per over-the-counter (OTC) transaction instruction <sup>liv</sup>	Participants	If the request is submitted and accepted up until the second (2 <sup>nd</sup> ) business day of the calendar month that follows the reference month <b>Fee</b> EUR 100 <b>VAT</b> 24%  If the request is submitted and accepted after the second (2 <sup>nd</sup> ) business day of the calendar month that follows the reference month <b>Fee</b> EUR 200 increased by EUR 20 for each additional month of delay up to a maximum limit of EUR 500 <b>VAT</b> 24%	900001309
<b>B14. Shareholder Register Service</b>				
1	Maintenance of a Shareholder Register	Issuers	Fees subject to agreement	
<b>B15. Unit Holder Register Service</b>				
1	Maintenance of a Unit Holder Register	Managers	Fees subject to agreement	
<b>B16. Securities Information Service</b>				
1	Information about data recorded on the Securities Accounts of a beneficiary's Share	Beneficiaries of Securities held in Client Securities Accounts	<b>Fee</b> EUR 5 per information request, plus, without any additional charge for personal receipt of the data or receipt of the data through the Participant (delivery via JIRA),	900000646

## Fee Schedule for ATHEXCSD Services

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### Fee Schedule for ATHEXCSD Services

S/N	Description	Client	Fee	Code
5	Production and dispatch of data to the Hellenic Capital Market Commission	Hellenic Capital Market Commission	No fee	
6	Production and dispatch of data to the Bank of Greece	Bank of Greece	No fee	
7	Production and dispatch of data to the European Central Bank	European Central Bank	No fee	
<b>B17. Service for Transfer of Securities at Beneficiary's Request</b>				
1	Transfer of securities at the request of the beneficiary (due to sale, donation, parental gift or securities contribution)	Beneficiaries of Securities	<p>Transfer of listed securities Transfer of unlisted securities</p> <p><b>Fee</b> 0.08% of the transfer value per party <u>The transfer value shall be whichever is higher between the value reported by the beneficiaries and the value arising from the closing price of the security on the transaction date. In the case of transfer of an unlisted Security, the value used for the calculation of fees shall be whichever is higher between the reported value and the nominal value of the Security<sup>lv</sup></u> Minimum: The lowest of EUR 20 or 20% of the transfer value</p> <p><b>Payment</b> Upon submission of the request</p> <p><b>VAT</b> Not payable</p>	<p>900000077 900001187</p>
<b>B18. Training Services</b>				
1	Organization of certification examinations	Participants, Individuals	<p><b>Fee</b> 150 per certification request</p> <p><b>Payment</b> Upon submission of the request</p> <p><b>VAT</b> Not payable</p>	900001222
2	Organization of seminars	Participants, Individuals	<p><b>Fee</b> Per seminar</p>	900001223

Fee Schedule for ATHEXCSD Services				
S/N	Description	Client	Fee	Code
			<b>Payment</b> Upon submission of the request <b>VAT</b> 24%	
C1. Other unlicensed Services - Special Requests				
1	Development services provided to Issuers and/or Participants to satisfy specific requests	Issuers, Participants, Other Clients	Request from Participant Request from Issuer Request from other client  <b>Fee</b> a. EUR 100/man-hour or EUR 750/man-day for the engagement of a senior management officer b. EUR 60/man-hour or EUR 450/man-day for the engagement of an experienced (senior) administrative/technical officer c. EUR 45/man-hour or EUR 325/man-day for the engagement of a junior administrative/technical officer <b>Payment</b> Upon submission of the request <b>VAT</b> 24%	900000090 900001269 900001270



**Table 1: Participants' subscription fees for the recording of securities**

<b>A. Shares, ETFs, ADRs, warrants and other equity products</b>		
<b>Securities/Markets</b>	<b>Depositories</b>	<b>Annual Participant Fee</b>
Australia Austria Canada France Germany Ireland Italy Netherlands Portugal Spain Swiss UK-LSE USA	ASX OeKB CDS Euroclear France CBF Euroclear UK & Ireland Monte Titoli Euroclear Netherlands Euroclear Portugal Iberclear SIX SIS Euroclear UK & Ireland DTCC	0.015% or 1.5 bps
Belgium	Euroclear Belgium	0.0175% or 1.75 bps
Denmark Finland Hong Kong Japan New Zealand Norway Singapore South Africa Sweden	VP Euroclear Finland HKEx JASDEC New Zealand CSD VPS SGX/CDP STRATE VPC	0.020% or 2 bps
UK-LSE International Market in USD	Euroclear Bank	0.030% or 3 bps
<b>B. Fixed Income Securities</b>		
<b>Securities/Markets</b>	<b>Depositories</b>	<b>Annual Participant Fee</b>
Austria Belgium Canada France Germany	OeKB Euroclear Belgium CDS Euroclear France CBF	0.020% or 2 bps

Ireland Italy Netherlands Portugal Spain Swiss UK-LSE USA	Euroclear UK & Ireland Monte Titoli Euroclear Netherlands Euroclear Portugal Iberclear SIX SIS Euroclear UK & Ireland DTCC	
Australia Denmark Finland Hong Kong Japan New Zealand Norway Singapore South Africa Sweden UK-LSE International Market in USD Eurobonds	ASX VP Euroclear Finland HKEx JASDEC New Zealand CSD VPS SGX/CDP STRATE VPC Euroclear Bank Clearstream Luxembourg	0.030% or 3 bps
Greek Government Securities	Bank of Greece	0.0015%

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**Table 2: Fees for settlement of transactions for the transfer of securities to and from the DSS**

A. Shares, ETFs, ADRs, warrants and other equity products		
Securities/Markets	Depositories	Fee per transaction settlement order
Austria Belgium Canada France Germany Ireland Italy Netherlands Portugal Spain Swiss	OeKB Euroclear Belgium CDS Euroclear France CBF Euroclear UK & Ireland Monte Titoli Euroclear Netherlands Euroclear Portugal Iberclear SIX SIS	EUR 8

UK-LSE USA	Euroclear UK & Ireland DTCC	
USA	DRS	EUR 125
Australia Hong Kong Japan	ASX HKEx JASDEC	EUR 10
Denmark Finland Norway Sweden UK-LSE International Market in USD	VP Euroclear Finland VPS VPC Euroclear Bank	EUR 12
Cross Border between Euroclear UK & Ireland and Euroclear Bank	Euroclear UK & Ireland	EUR 70
New Zealand South Africa	New Zealand CSD STRATE	EUR 15
Singapore	SGX/CDP	EUR 18
Cyprus	CSE	EUR 0.2
<b>B. Fixed Income Securities</b>		
<b>Foreign Securities/Markets</b>	<b>Depositories</b>	<b>Fee per transaction settlement order</b>
Austria Belgium Canada France Germany Ireland Italy Netherlands Portugal Spain Swiss UK-LSE USA	OeKB Euroclear Belgium CDS Euroclear France CBF Euroclear UK & Ireland Monte Titoli Euroclear Netherlands Euroclear Portugal Iberclear SIX SIS Euroclear UK & Ireland DTCC	EUR 10
Australia Denmark Finland Hong Kong Japan	ASX VP Euroclear Finland HKEx JASDEC	EUR 15

Norway Sweden UK-LSE International Market Eurobonds	VPC VPS Euroclear Bank Clearstream Luxembourg	
New Zealand Singapore South Africa	New Zealand CSD SGX/CDP STRATE	EUR 20
Cyprus	CSE	EUR 0.2
Greek Government Securities	Bank of Greece	EUR 1.5

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- <sup>i</sup> The field “A0102. Initial Recording Service provided to Listed Issuers with immobilization” was amended as above by the competent services of ATHEXCSD pursuant to par. 3, article 3 hereof.
- <sup>ii</sup> The added monthly fee of €1.000 per Participant for the maintenance of foreign securities (excluding securities which are parallelly listed on Athens Stock Exchange), except if there is a failure of transfer of specific securities to a custodian services provider, was added to line (3) of Table A02 of the Annex by virtue of decision 340/21.11.2022 of the Board of Directors with effect as of 21.11.2022.
- <sup>iii</sup> Field 7 of section A02 of the Annex was replaced as above by virtue of decision no. 312/29.03.2021 of the Board of Directors of ATHEXCSD.
- <sup>iv</sup> Field 8 of section A02 of the Annex was replaced as above by virtue of decision no. 312/29.03.2021 of the Board of Directors of ATHEXCSD.
- <sup>v</sup> A new field 9 “Reactivation of a Securities Account” was added to the Section “A02. Central Maintenance Service”, as above, by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.
- <sup>vi</sup> Field 11 of the Section “A02. Central Maintenance Service” was amended as above by virtue of decision no. 325/31.01.2022 of the Board of Directors with effect as of 01.02.2022.
- <sup>vii</sup> The details under “Fee” in field 5 of the Section “A0300. Participant Access Service” were amended as above by virtue of decision no. 322/29.11.2021 of the Board of Directors of ATHEXCSD with retroactive effect from 12.04.2021.
- <sup>viii</sup> The details under “Payment” in field 5 of the Section “A0300. Participant Access Service” were amended as above by virtue of decision no. 322/29.11.2021 of the Board of Directors of ATHEXCSD with retroactive effect from 12.04.2021.
- <sup>ix</sup> Field 1 of the Service “A0302.Settlement on the instruction of Participants” was amended as above by virtue of decision no. 325/31.01.2022 of the Board of Directors with effect as of 01.02.2022.
- <sup>x</sup> Field 1 of the Service “A0302.Settlement on the instruction of Participants” was amended as above by the competent services of ATHEXCSD pursuant to par. 3, article 3 hereof.
- <sup>xi</sup> Field 3 of the Service “A0302.Settlement on the instruction of Participants” was amended as above by the competent services of ATHEXCSD pursuant to par. 3, article 3 hereof.
- <sup>xii</sup> Field 5 of the Service “A0302.Settlement on the instruction of Participants” was amended as above by the competent services of ATHEXCSD pursuant to par. 3, article 3 hereof.
- <sup>xiii</sup> Field 5 of the Service “A0302. Settlement on the instruction of Participants” was amended as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.
- <sup>xiv</sup> Field 6 of the Service “A0302. Settlement on the instruction of Participants” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

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- <sup>xv</sup> Field 2 of the Service “A0304. Settlement on the instruction of Participants in the framework of ATHEXCSD Links” was deleted as above, by virtue of decision no. 325/31.01.2022 of the Board of Directors with effect as of 01.02.2022.
- <sup>xvi</sup> The field “Settlement of transactions for the transfer of securities to and from the DSS” of the Service “A0304. Settlement on the instruction of Participants in the framework of ATHEXCSD Links” was amended as above by the competent services of ATHEXCSD pursuant to par. 3, article 3 hereof.
- <sup>xvii</sup> The exemption from the fees for the transfers of foreign securities (excluding securities which are parallelly listed on Athens Stock Exchange) to a custodian services provider, provided that they lead to the nullification of the remaining foreign securities per beneficiary, was added to line (1) of Table A0304 of the Annex by virtue of decision 340/21.11.2022 of the Board of Directors with effect as of 21.11.2022.
- <sup>xviii</sup> Fields 1 to 5 of the Service “A0305. Settlement of delivery and receipt of consideration on the instruction of Issuers or Fund Managers in the framework of implementation of corporate actions or acts” were amended as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.
- <sup>xix</sup> Field 2 of the Service “A0305. Settlement of delivery and receipt of consideration on the instruction of Issuers or Fund Managers in the framework of implementation of corporate actions or acts” was amended as above by virtue of decision no. 325/31.01.2022 of the Board of Directors with effect as of 01.02.2022.
- <sup>xx</sup> A new field 2 was added to the Service “B02. Settlement matching, instruction routing, transaction confirmation, transaction verification” as above by virtue of decision no. 325/31.01.2022 of the Board of Directors with effect as of 01.02.2022.
- <sup>xxi</sup> The field “Payment” of the fee for “Complete file of beneficiaries” of the section “B04. Registry Service” was replaced as above by virtue of decision no. 314/24.05.2021 of the Board of Directors with effect as of 27.05.2021.
- <sup>xxii</sup> Field 5 of the Section “B04. Registry Service” was amended as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.
- <sup>xxiii</sup> The details under “Payment” in field 6 of the Section “B04. Registry Service” were amended as above by virtue of decision no. 322/29.11.2021 of the Board of Directors of ATHEXCSD with retroactive effect from 12.04.2021.
- <sup>xxiv</sup> Field 7 of the Section “B04. Registry Service” was amended as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.
- <sup>xxv</sup> The field “Conversion of identification request files and provision of shareholder identification files” of the section “B04. Registry Service” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with effect as of its posting.
- <sup>xxvi</sup> The field “Payment” of the fee for “Provision of a shareholder identification file (partial or complete)” was replaced as above by virtue of decision no. 314/24.05.2021 of the Board of Directors with effect as of 27.05.2021.
- <sup>xxvii</sup> Field 12 “Change of Share category from Client Share to Fund Share or Public Body Share” of the section “B04. Registry Service” was added as above by virtue of decision no. 317/26.07.2021 of the Board of Directors with effect as of 02.08.2021.
- <sup>xxviii</sup> Field 12 of the Section “B04. Registry Service” was amended as above by virtue of decision no. 325/31.01.2022 of the Board of Directors with effect as of 01.02.2022
- <sup>xxix</sup> **Two new fields were added to the Service “B04. Registry Service” as above by virtue of decision no. 345/24.04.2023 of the Board of Directors with effect as of 19.06.2023.**
- <sup>xxx</sup> Field 4 of the Section “B05. Service for corporate & other related actions” was amended as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.
- <sup>xxxi</sup> Field 5a) of the Section “B05. Service for corporate & other related actions” was amended as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.
- <sup>xxxii</sup> Field 5b) of the Section “B05. Service for corporate & other related actions” was amended as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.
- <sup>xxxiii</sup> The instance “Cash distributions by a paying bank” of the section “B05. Service for corporate & other related actions” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.
- <sup>xxxiv</sup> The instance “Cash distributions by a Paying Bank due to sales of fractional share” of section “B05. Service for corporate & other related actions” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>xxxv</sup> The instance “Cash distributions by ATHEXCSD due to the sale of fractional shares or cash payments by ATHEXCSD to the CDLF due to sales of Securities of no-show beneficiaries (including the distribution of amounts to Participants or the lodging of a deposit)” of the section “B05. Service for corporate & other related actions” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>xxxvi</sup> A new field 14 was added to the Section “B05. Service for corporate & other related actions” as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 25.10.2021.

<sup>xxxvii</sup> The field “Voluntary deletion of Securities from ATHEXCSD” of Service “B05. Service for corporate & other related actions” was replaced as above by virtue of decision no. 345/24.04.2023 of the Board of Directors with effect as of 19.06.2023.

<sup>xxxviii</sup> The field “Issuance of a file of persons entitled to participate in a General Meeting of Shareholders/Bondholders” of the section “B05. Service for corporate & other related actions” was replaced as above by virtue of decision no. 314/24.05.2021 of the Board of Directors with effect as of 27.05.2021.

<sup>xxxix</sup> A new field “Blocking and Unblocking of Securities for GMs” was added to the section “B05. Service for corporate & other related actions” as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>xl</sup> Two new fields were added to the Service “B05. Service for corporate & other related actions” as above by virtue of decision no. 345/24.04.2023 of the Board of Directors with effect as of 19.06.2023.

<sup>xli</sup> The field “Extraordinary Charges of Providers” of the section “B08.Link Provision Service” was amended as above by the competent services of ATHEXCSD pursuant to par. 3, article 3 hereof.

<sup>xlii</sup> The fields “Management of collateral” and “Release of collateral” of the section “B0901. Collateral Management Service” were amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>xliii</sup> The fields “Management of collateral” and “Release of collateral” of the section “B0901. Collateral Management Service” were amended as above by virtue of decision no. 328/28.03.2022 of the Board of Directors with effect as of 01.04.2022 and were subsequently amended as above by virtue of decision no. 345/24.04.2023 of the Board of Directors with effect as of 19.06.2023.

<sup>xliv</sup> The fields “Attachment management (blocking of Securities\_ and “Attachment management (acquisition of ownership” of the section “B0903. Attachment Registration Service” were amended as above by virtue of decision no. 328/28.03.2022 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>xlv</sup> The field “Attachment management” of the section “B0903. Attachment Registration Service” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>xlvi</sup> Field 10 of the Section “B11. Information Technology Services” was amended as above by the competent services of ATHEXCSD by virtue of par. 3, article 3 hereof.

<sup>xlvii</sup> The field “ISO15022 Message Exchange Subscription Service via SWIFTNet” was amended as above by the competent services of ATHEXCSD pursuant to par. 3, article 3 hereof.

<sup>xlviii</sup> The field “DSS availability outside business days or hours” of the section “B11. Information Technology Services” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>xlix</sup> The field “Hosting of physical (hardware) or virtual equipment & applications and provision of support infrastructure & services” of the section “B1110. Hosting – Co-location” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>l</sup> The field “Services in support of allocation of Greek Government Bonds & Treasury Bills” was added as above by virtue of decision no. 315/28.06.2021 of the Board of Directors with effect as of 12.04.2021.

<sup>li</sup> A new field 3 “Provision of confirmation of Security closing prices” was added to Section “B.12 Succession Service” as above by virtue of decision no. 325/31.01.2022 of the Board of Directors with effect as of 01.02.2022.

<sup>lii</sup> The periodicity of payment in field 2 of the Section “B13. Tax and Other Collection Services” was amended as above by the competent departments of ATHEXCSD by virtue of par. 3, article 3 hereof.

<sup>liii</sup> A new field 6 “Request for reimbursement of a Sales Tax amount from the Independent Authority for Public Revenue (IAPR)” was added to the Section “B13. Tax and Other Collection Services” as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 01.11.2021.

<sup>liv</sup> A new field 7 “Correction of Sales Tax due to correction of operation reason per over-the-counter (OTC) transaction instruction” was added to the Section “B13. Tax and Other Collection Services” as above by virtue of decision no. 321/25.10.2021 of the Board of Directors with effect as of 01.11.2021.

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<sup>lv</sup> The field “Transfer of securities at the request of the beneficiary (due to sale, donation, parental gift or securities contribution) of the section “B17. Service for Transfer of Securities at Beneficiary’s Request” was amended as above by virtue of decision no. 313/26.04.2021 of the Board of Directors with retroactive effect as of 12.04.2021.

<sup>lvi</sup> Section A “Shares, ETFs, ADRs, warrants and other equity products” of Table 1 “Participants’ subscription fees for the recording of securities” was amended as above by virtue of decision no. 331/30.05.2022 of the Board of Directors with effect as of 01.07.2022. Section B “Fixed Income Securities” of the same Table was amended as above by virtue of the same decision of the Board of Directors with effect as of 30.05.2022.

<sup>lvii</sup> Table 2 “Fees for settlement of stock exchange transactions” was deleted and Table 3 was renumbered to 2 as above by virtue of decision no. 325/31.01.2022 of the Board of Directors with effect as of 01.02.2022.

<sup>lviii</sup> Section A “Shares, ETFs, ADRs, warrants and other equity products” of Table 2 “Fees for settlement of transactions for the transfer of securities to and from the DSS” was amended as above by virtue of decision no. 331/30.05.2022 of the Board of Directors with effect as of 01.08.2022. Section B “Fixed Income Securities” of the same Table was amended as above by virtue of the same decision of the Board of Directors with effect as of 30.05.2022.