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RESOLUTION No 6

"Technical terms and procedures for the provision of the Securities Financing Support Service"

THE BOARD OF DIRECTORS

OF THE GREEK CENTRAL SECURITIES DEPOSITORY SOCIETE ANONYME (ATHEXCSD)

(Meeting 22.02.2021)

Having regard to

1. the provisions of Article 2.6 of Part 2 of Section I, Article 1.1 par. 5 of Part 1 and 2.2 par.2 of Part 2 of Section VI of the Rulebook of ATHEXCSD (hereinafter the "Rulebook")
2. the need to specify the technical terms and procedures relating to the provision, by ATHEXCSD, of Securities Financing Support Service

DECIDES THE FOLLOWING:

I. Scope of application

1. This Resolution sets out the basic technical procedures concerning the provision by ATHEXCSD of the Securities Financing Support Service and related personalized services.

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II. Provision of a standard Securities Financing Support Service

1.1. Settlement of transactions in Securities Financing Agreements

1. ATHEXCSD shall carry out a standard settlement of Securities Financing transactions, which are executed or cleared, as applicable, in accordance with the terms of paragraph 4.4.3 of Section V of the Rulebook, as well as the more specific arrangements of Part 3 of Resolution 5 of ATHEXCSD.
2. Within the framework of the Securities Support Service, ATHEXCSD shall carry out the required commitments to the DSS of Securities on behalf of the Participants acting on behalf of the principals and lenders in Securities Financing Agreements. In particular:
 - a) ATHEXCSD shall bind the quantities to be lent in accordance with the orders received through the DSS and its on-line communication with the relevant Market Infrastructure Operator and the Participants acting on behalf of the lending agents.
 - b) It shall inform the Market Infrastructure Operator and the engaged Participants of the above commitments, through its procedures.
 - c) It shall carry out the necessary delivery arrangements through the relevant Settlement Files through the DSS of the Securities from the Participants acting on behalf of the lending principals.
3. ATHEXCSD shall carry out, respectively, delivery arrangements of the Securities to the Participants acting on behalf of the principals and beneficiaries of borrowing, provided that they a mandate to them from the Market Infrastructure Operator has previously taken place. Until that time, ATHEXCSD shall retain the under sen. c par. 2 Securities in "under settlement" status, in accordance with its procedures.
4. In any case, ATHEXCSD shall carry out the arrangements for the delivery and receipt and respective refunds of the Securities to the execution of the Securities Financing Agreements, only if it receives relevant mandates from the Market Infrastructure Operator, in accordance with its procedures.

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III. **Terms of personalized operation of the Securities Financing Support Service**

1. ATHEXCSD may provide a personalized Securities Financing Support Service to Market Infrastructure Operators and their Members, following a relevant request submitted by the Market Infrastructure Operator concerned and provided that the conditions set out below are met.
2. The application should include the specific terms of use of the DSS for the purposes of the personalized service as well as any term concerning the operation and the characteristics of the Securities Financing Agreements to be supported by the Market Infrastructure Operator.
3. ATHEXCSD may refuse to provide the personalized service or set terms for the provision of it, in compliance with Article 53 of Regulation (EU) 909/2014, where applicable, if, due to the nature of the service concerned, its provision requires prior information or permission from the Competent Authority or ATHEXCSD does not intend to engage in the relevant authorization.
4. If it is a personalized service in respect of the provision of which the terms are not met of par. 3, ATHEXCSD shall accept the relevant application and take the necessary steps to provide or put into operation the personalized service, by setting a relevant schedule to the Market Infrastructure Operator.

IV. **Entry into force**

1. This resolution shall be made public via the website www.athexgroup.gr. This shall become effective from its publication, namely from 12.04.2021.
2. The publication hereof shall be subject to the formalities set out in Article 2.6 of Part 2 of Section I of the Rulebook.