

STOCKS (ver. 16/05/2018)								
Fee Description		Fee Type	Charges					
Membership Fees								
1.	ANNUAL MEMBERSHIP FEES for Cash Market	Fixed fee according to the Member's Annual Turnover, charged every quarter	Transaction Value €/million		Annual Fee			
			0	150	0	0		
			150	500	2,000			
			500	1,000	18,000			
			1,000	2,500	25,000			
			2,500	5,000	60,000			
			5,000	10,000	70,000			
			10,000	15,000	180,000			
			15,000	20,000	200,000			
			20,000	999,999	300,000			
2.	ANNUAL MEMBERSHIP FEES for AthexClear	Fixed fee charged every quarter	Member		0 €			
			Direct Clearing		0 €			
			General Clearing		10,000 €			
			Special Type Participants (DSS Operators authorized to create Principal Clearing Accounts)					
3.	FEES FOR OVER TO ONE OPERATOR CODE PER COMPANY	Fixed fee charged every quarter	5.000€/year					
IT Fees								
4.	TRADING WORKSTATIONS FEES	Fixed annual fee according to the Member's Annual Turnover	Transaction Value (previous year) €/million		Number of free ORAMA workstations (K)			
			0	150	6	1.500€/year		
			150	500	7			
			500	1,000	8			
			1,000	2,500	10			
			2,500	5,000	12			
			5,000	10,000	15			
			10,000	15,000	16			
			15,000	20,000	17			
			20,000	999,999	18			
5.	API LICENCE FEES	Fixed Fee	ATHEX Gateway				FIX	
			ODL					
			1st Primary Active GW	2nd Primary Active GW	2nd Primary Active GW Co-located to ATHEXGroup Data Center			
			0 €	6,000 €	0 €	8,000 €		
			0 €	6,000 €	0 €	8,000 €		
			0 €	6,000 €	0 €	8,000 €		
			0 €	6,000 €	0 €	8,000 €		
			0 €	6,000 €	0 €	8,000 €		
			0 €	6,000 €	0 €	8,000 €		
			0 €	6,000 €	0 €	8,000 €		
6.	Xorder Client FEES	Fixed Fee	Monthly Fee		Annual Fee			
			€30 per account, with 15min delay on price watching of Xnet markets		€120 per account (free of charge while the Athex GW Service is free of charge)			
7.	DSS WORKSTATIONS FEES	Variable charge	Basic structure		Fees for additional (production) terminals			
			Disaster		30 € / month			
8.	FEES FOR DISASTER RECOVERY ENVIRONMENT ACTIVATION	Optional charge	100€ / Configuration Change Cost to Production Environment and vice versa					
9.	FEES FOR OTHER TECHNICAL SERVICES PROVIDED	Optional charge	Preventive Maintenance And Control Of The Smooth Functioning Of The Member's Gateways Systems/Per Gateway			100 € / month		
			Server Hosting for the Members' OASIS gateway systems			200 € / month		
			Preventive maintenance and control of the smooth functioning of the Member's DSS-API Systems per DSSAPI System			100 € / month		
			Access to the Test environment of the Securities Market through ORAMA terminals (max number of terminals=2), through ODL and/or FIX			50 € / month (only for non-active in Production System members)		
			Access to the Test environment of the Securities Market-Xorder Client			0.00 €		
10.	USING OF COMMON ACCESS POINTS (CAP) IN THE PREMISES OF ATHEX	Optional charge	Fees per Access System		Workstations			
					1st day of use			
					2nd day of use (& every extra, after the 1st day of use)			
					200 €			
					-			
Trading Fees								
11.	Pricing Policy for stocks, bonds, ETFs, GDRs & Warrants trading & clearing	Variable charge	Fees for trading on stocks, preference shares, ETFs, GDRs, Warrants, etc		0,0125% on the daily transaction value			
			Pre-agreed transactions of Method 6 on stocks, preference shares, ETFs, GDRs, Warrants, etc		0,010% on the daily transaction value			
			Fees for Bonds trading		0,005% on the daily transaction value			
			Fees for order entry		0,06 euro per order through ATHEXnet <i>*There is no charge for the orders that remain unexecuted or are modified/canceled more than 15 seconds after their entry time</i>			
12.	Feas for trading support services	Optional charge	Commission per order (entry or cancellation)	1 - 10	10 €			
				11 - 50	20 €			
				>51	30 €			
Clearing Fees								
13.	Fees for on-exchange securities	Variable charge	Fees for clearing on stocks, preference shares, ETFs, GDRs, Warrants, etc		The Clearing Member			
			Bonds, Debentures and Investment S.P.		The Clearing Member			
14.	Shifting / AthexClear	No fee	Shifting (to DSS Operator identical to the trading Member)					
			Shifting		1€ per transaction			
15.	Shaping / AthexClear	No fee	Shaping (Splitting, Grouping) until T+1 at 16:00					
			Shaping (Splitting, Grouping) after T+1 at 16:00		1€ per transaction			
16.	Notification / AthexClear		Notification Order for Securities Settlement Account (except for Principal Clearing Account orders)		0,50 € per transaction			
17.	Special Settlement Instruction Orders / AthexClear		Special Instruction Orders for Principal Clearing Accounts		0,50 € per transaction			
			Special Instruction Automatic Orders for Principal Clearing Accounts		0,50 € per single automatic transfer action from any Principal Clearing Account to the Main Share of the Broker-dealer			
18.	Feas for same day settlement	Optional charge	The Clearing Member		50€ each			
Settlement Fees								
19.	Restoration Block Trades	Optional charge	The Account Operator		20€ per block trade			
20.	Fees for Over-The-Counter securities transfers	Variable charge	Fees for trading on stocks, preference shares, ETFs, Warrants, GDRs etc		0,0325% On the transfer value (for both parties of the transaction) Minimum fee of € 20			
			Bonds and Debentures and Investment S.P.		0,015% On the transfer value (for both parties of the transaction) Minimum fee of € 20			
			Securities Lending / Securities Return, Guarantees, Rectifications		20 € plus 1€ for DVP transactions			
			Re-registration & Re-registration tax included		20 €			
			Creation/Redemption of ADR or ETF		20 €			
Registry Fees								
21.	Annual Fees for DSS Account Operators / ATHEXCSD	Fixed charge according to Portfolio Value per Account Operator	Portfolio Value per Account Operator €/million		Annual Fee			
			15,001	100,000	0,0031%			
			100,001	500,000	0,0029%			
			500,001	2,500,000	0,0027%			
			2,500,001	5,000,000	0,0026%			
			5,000,001	∞	0,0023%			
22.	Registration of securities in the Special Account due to transfer from an Account Operator / ATHEXCSD		The Account Operator		20€ per transaction			
23.	Creation of Investor Share in the Dematerialized Securities System / ATHEXCSD		The Account Operator		10€			
24.	Registration of Real Financial Securities upon Shares / ATHEXCSD	Per Security and per Real Financial Security agreement			0,01% per transaction Minimum € 10, Maximum € 100			
25.	Registration of Real Financial Securities upon Shares / ATHEXCSD	The Account Operator			0,04% on the transfer value Minimum fee of € 20			
OPTIONAL SERVICES								
26.	AXIAsms / ATHEXCSD	Access to the service	No of Investors		Month fee			
			Up to 5.000		65 €			
			Up to 10.000		100 €			
			Up to 20.000		125 €			
Up to 50.000		165 €		1.980 €				
Up to 100.000		210 €		2.520 €				
Up to 100.001		250 €		3.000 €				
		Usage fees		0,125€ per SMS (minimum charge = 125€)				
		E_mail messaging fees (when there is no SMS charge)		0,06 / e-mail				
27.	Sms for Web Xorder Client	Usage fees	0,125€ per SMS					