



ATHEXCSD
Κεντρικό Αποθετήριο Τίτλων

AXIA E-SHAREHOLDERS MEETING SCREENS MODE

MANUAL FOR PARTICIPANTS - INTERMEDIARIES

FOR IDENTIFICATION SERVICES

Version 1.0

Athens, May 2024



ATHEXCSD
Κεντρικό Αποθετήριο Τίτλων

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TABLE OF REVISIONS

Version	Date	Revision Details - Reason for Change
1.0	17/05/2024	Restructuring of manual contents and format



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1 GENERAL

1.1 Purpose – General Description

The purpose of the manual is to describe the procedures that Participants and Intermediaries are required to perform regarding the management of screens for beneficiary identification services within the SRDII regulatory framework. The basic procedures described are the following:

1.2 References

The definitions, procedures and functions described in this document derive from the SRDII and ATHEXCSD regulatory framework:

- EU Regulation 1212/2018
- Law 4706/2020
- the Operating Regulations of ATHEXCSD
- Decision 8 of the ATHEXCSD Board of Directors

1.3 Definitions and Acronyms

Acronym	Definition – Description
AXIA E-SHAREHOLDERS MEETING	The computerized - operating system for the support of identification tasks and general assemblies, which has been created and managed by the Hellenic Central Depository of Securities SA. (ATHEXCSD).
Intermediary	Investment Services Firms of Law 4514/2018 or an investment company or a third country company within the meaning of Directive 2014/65/EU, a credit institution of Law 4261/2014 or within the meaning of Article 3 of Directive 2013/36/EC or CSD that provides services of custody of securities, management of securities or maintenance of securities accounts on behalf of third parties.
Participant	Participants within the meaning of case 19 of paragraph 1 of article 2 of Regulation (EU) 909/2014, who participate in the D.S.S. and are entitled to access Securities Accounts under the Depository Services they use.

2 SCREENS' OPERATION

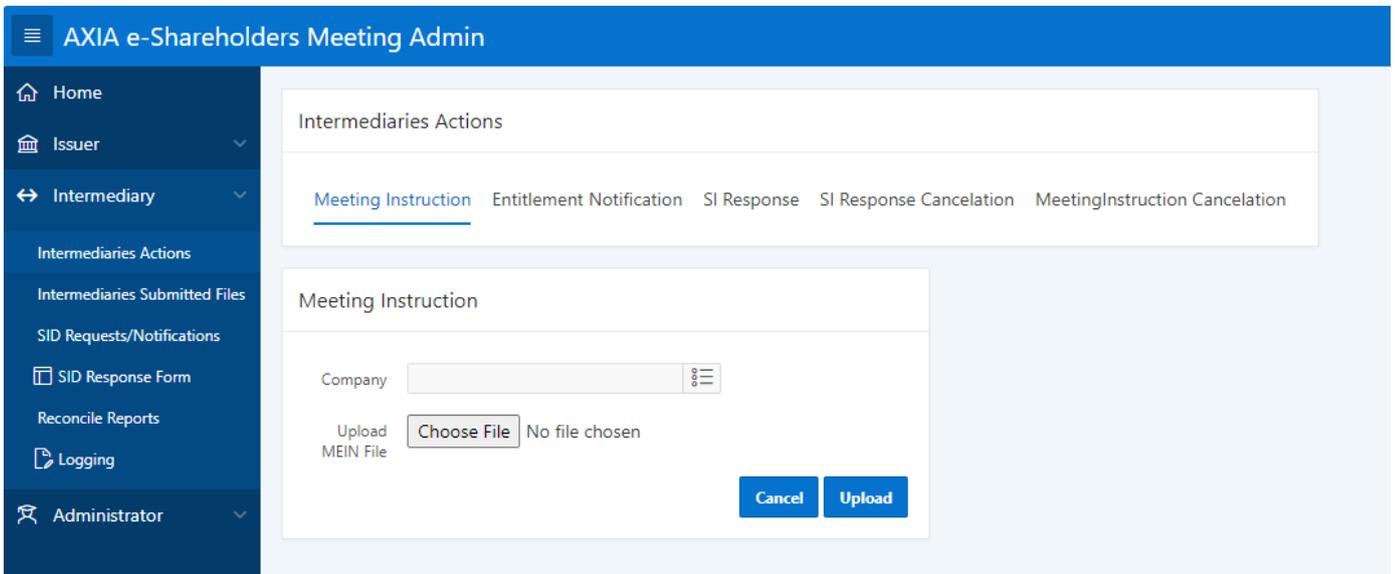
2.1 Screen: "Intermediaries Actions"

In this screen (see screen 1 below), the user has the option to upload the following types of files in xml format:

- Meeting instruction (seev.004)
- Entitlement notification (seev.003)
- SI response (seev.047)
- SI response cancelation (seev.048)
- Meeting instruction cancelation (seev.005)

After choosing the type of file to be submitted, the user selects the company in the "Company" field, i.e. the Intermediary that submits the file. Then, by selecting "Choose file" he finds the file to be submitted from the folder that has been saved and by selecting "Upload" he uploads it to the platform. If the submission is successful, a "successful" message is displayed, otherwise another message is displayed informing the user of any errors in the submission or any entries, so that the file can be corrected and resubmitted. In order to facilitate the user's search, it is suggested that the name of the files should have the following format:

SID REQUEST_LEI ή BIC_DATE (π.χ. BOGDIV2024_213800T8UR2VI6Q2RH18_20240517.xml)



Screen 1: "Intermediaries Actions"

It is highlighted that when the Intermediary successfully submits a file (i.e. a successful message appears on the "Intermediaries Actions" screen) then for the following cases he will receive in the email registered during the access process to the AXIA e-Shareholders Meeting platform, the following response files from ATHEXCSD:

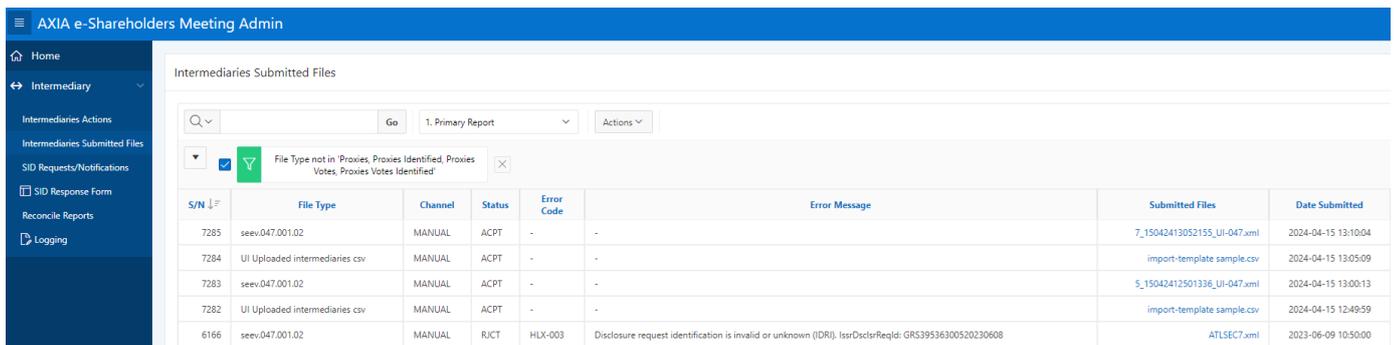
- For the files “Meeting instruction (seev.004)” or “Meeting instruction cancellation (seev.005)”: he will receive the reply file “Confirmation of receipt of electronic votes (seev.006)”.
- For the “SI Response (seev.047)” file: he will receive the response file “Response status to shareholder identification request (seev.049)”.

EXPLANATION OF SCREEN FIELDS (SECTIONS)

Field	Explanation
Meeting Instruction	Notice of Shareholder Participation in a General Meeting
Entitlement Notification	Confirmation of Right to Exercise Shareholder Rights
SI Response	Response to an identification request
SI Response Cancelation	Cancel a response to an identification request
Meeting Instruction Cancelation	Cancellation of Notice of Participation of Shareholders in a General Meeting
Meeting Instruction	
Company	The responding company/Intermediary
Upload MEIN file	The xml file that will be uploaded to the platform

2.2 Screen “Intermediaries Submitted files”

Through this screen (see screen 2 below), the Intermediary monitors the files he has sent and the status (“ACPT”-Accepted, “RJCT”-Rejected, “INCP”-Incomplete, “RCVD”-Received, “FVLD ”-Failed validation) in which they are.



S/N	File Type	Channel	Status	Error Code	Error Message	Submitted Files	Date Submitted
7285	seev.047.001.02	MANUAL	ACPT	-	-	7_15042413052155_UI-047.xml	2024-04-15 13:10:04
7284	UI Uploaded intermediaries csv	MANUAL	ACPT	-	-	import-template sample.csv	2024-04-15 13:05:09
7283	seev.047.001.02	MANUAL	ACPT	-	-	5_15042412501336_UI-047.xml	2024-04-15 13:00:13
7282	UI Uploaded intermediaries csv	MANUAL	ACPT	-	-	import-template sample.csv	2024-04-15 12:49:59
6166	seev.047.001.02	MANUAL	RJCT	HLX-003	Disclosure request identification is invalid or unknown (IDRI). IssrDscIsrReqId: GRS39536300520230608	ATLSEC7.xml	2023-06-09 10:50:00

Screen 2: “Intermediaries Submitted Files”

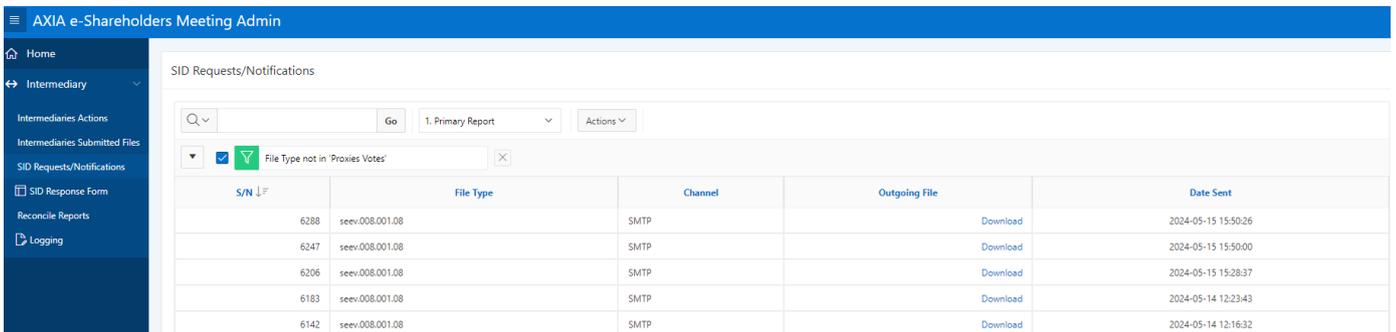
EXPLANATION OF SCREEN FIELDS

Field	Explanation
Go	Entering the requested information in the search field brings the result of the search by pressing "Go".
1. Primary Report / 2. Unresolved submitted files	Selecting the filter related to the category of files requested. 1=all, 2=rejected

Field	Explanation
Actions	It allows creating filters, downloading files, etc
S/N	The unique number of the file
File Type	The type of the submitted file
Channel	Through which channel the file was sent: SMTP: through email Finplus: through swift Manual: through the platform AXIA e-Shareholders Meeting Inner: problem delivering the file to the platform
Status	File status: ACPT: Submitted successfully RJCT: Rejected FVLD: Errors on the structure of the file RCVD: Sent but the file has not yet been processed by the system INCP: The file has not yet been processed by the system due to missing entries
Error Code	Error code (more details can be found in Appendix 2)
Error Message	Error message (more details can be found in Appendix 1)
Submitted Files	The sent file with the name with which it was submitted to the platform, which can be downloaded by the user.
Date Submitted	The date that the file was submitted by the user

2.3 Screen “SID Requests/Notifications”

Through this screen, the Intermediary monitors the files sent by ATHEXCSD in response to its own submissions:



S/N	File Type	Channel	Outgoing File	Date Sent
6288	seev.008.001.08	SMTP	Download	2024-05-15 15:50:26
6247	seev.008.001.08	SMTP	Download	2024-05-15 15:50:00
6206	seev.008.001.08	SMTP	Download	2024-05-15 15:28:37
6183	seev.008.001.08	SMTP	Download	2024-05-14 12:23:43
6142	seev.008.001.08	SMTP	Download	2024-05-14 12:16:32

Screen 3: “SID Requests/Notifications”

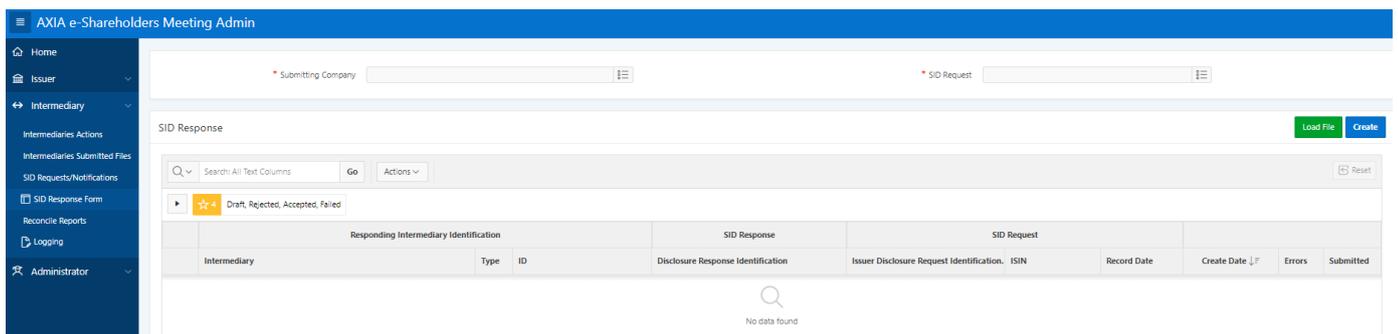
EXPLANATION OF SCREEN FIELDS

Field	Explanation
Go	Entering the requested information in the search field brings the result of the search by pressing "Go".
1. Primary Report / 2. Pending files to swift	Select the filter related to the category of files requested. 1=all, 2=those not yet sent via swift
Actions	It allows creating filters, downloading files, etc
S/N	The unique number of the file
File Type	The type of the submitted file
Channel	Through which channel the file was sent: SMPT: though email Finplus: through swift Manual: though the platform AXIA e-Shareholders Meeting Inner: problem delivering the file to the platform
Outgoing File	The uploaded file so that the Intermediary can download it
Date Sent	Date the file was sent

2.4 Screen “SID Response Form”

Through this screen, the user can:

1. Submit an identification file in csv format (you can see in appendix 3 the model of the relevant file).
2. Enter shareholder’s details directly through the screen in response to the identification request.



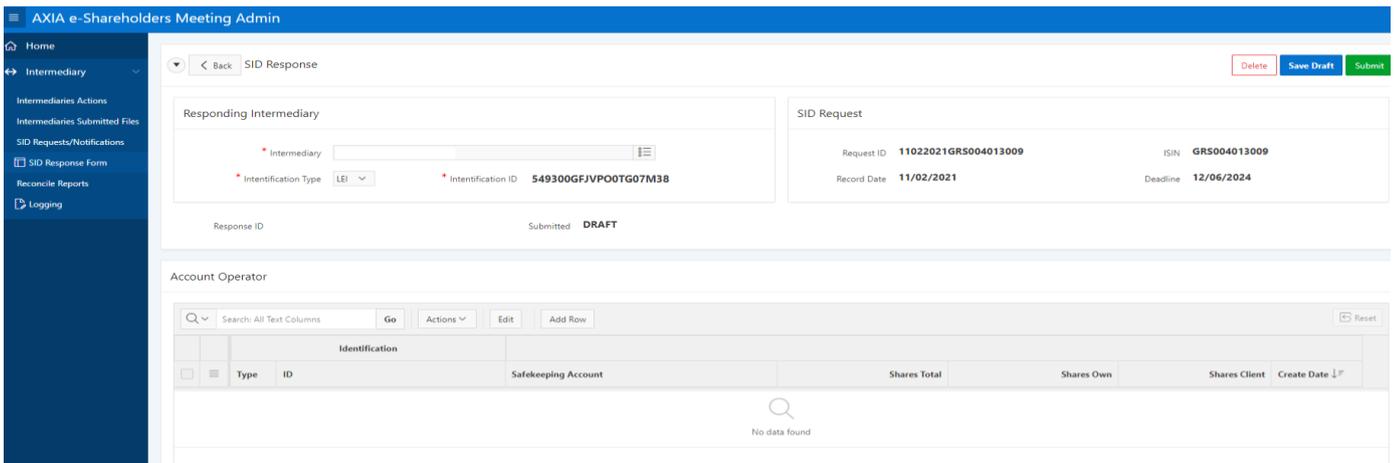
Screen 4: “SID Response Form”

Screen mode:

- ✓ The Intermediary’s name displays on the screen
- ✓ The user chooses the “SID Request” for which he will submit a responding file

OPERATION OF INTERMEDIARY SCREENS ON THE AXIA E-SHAREHOLDERS MEETING PLATFORM

- ✓ The user selects “Create”, fills in the details of the Intermediary and selects “Save”
- ✓ The user adds a line (add row) to the account operator and beneficiary details sheets and fills in the relevant fields.
- ✓ The user chooses either temporary storage i.e. "Save draft" or submission i.e. "Submit" once he has completed the registration, in which case his answer is included in the identification process and a relevant file is submitted to the "Submitted Files".



AXIA e-Shareholders Meeting Admin

Home | Intermediary | Intermediaries Actions | Intermediaries Submitted Files | SID Requests/Notifications | SID Response Form | Reconcile Reports | Logging

Back SID Response [Delete] [Save Draft] [Submit]

Responding Intermediary

Intermediary: [Field] | LEI: [Field] | Identification ID: 549300GFJVPO0TG07M38

SID Request

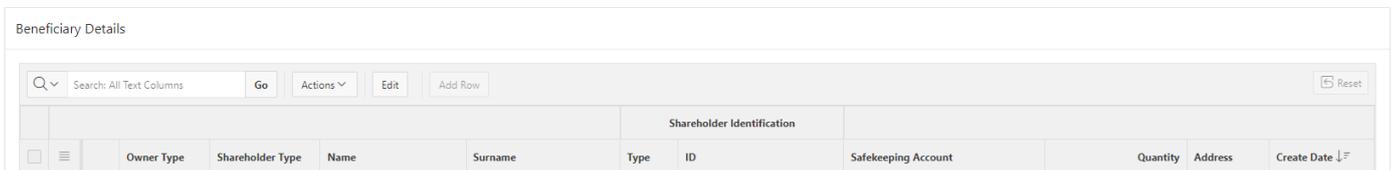
Request ID: 11022021GRS004013009 | ISIN: GRS004013009
Record Date: 11/02/2021 | Deadline: 12/06/2024

Response ID: [Field] | Submitted: DRAFT

Account Operator

Search: All Text Columns [Go] [Actions] [Edit] [Add Row] [Reset]

Type	ID	Safekeeping Account	Shares Total	Shares Own	Shares Client	Create Date
No data found						



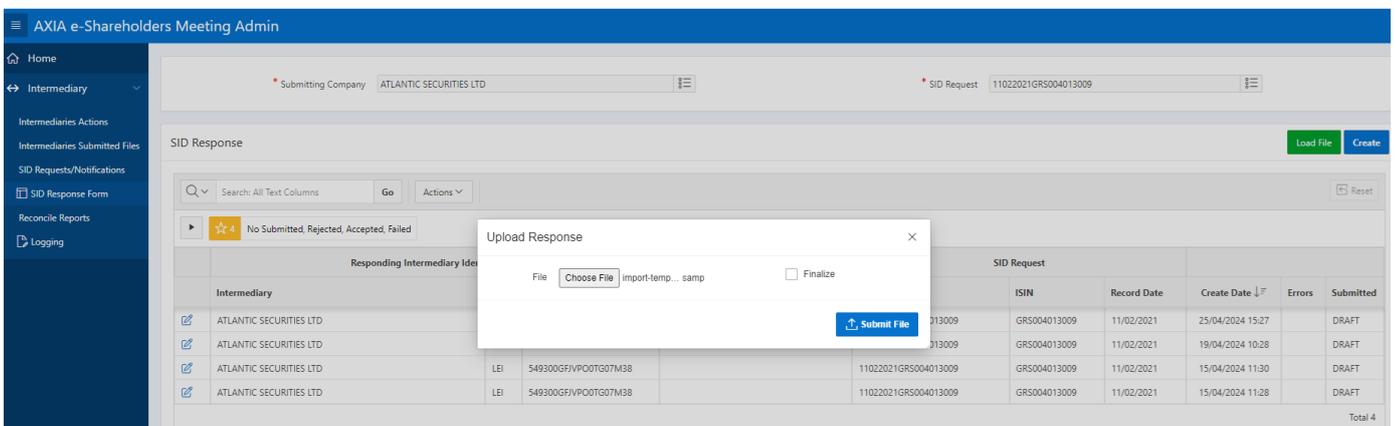
Beneficiary Details

Search: All Text Columns [Go] [Actions] [Edit] [Add Row] [Reset]

Owner Type	Shareholder Type	Name	Surname	Type	ID	Safekeeping Account	Quantity	Address	Create Date
No data found									

Screen 5: “SID Response Form/Account operator & Beneficiary Details”

A similar procedure is followed for submitting a file in csv format (you can see Appendix 3 for the relevant file). After submitting “Load File” the user selects “Submit File”.



AXIA e-Shareholders Meeting Admin

Home | Intermediary | Submitting Company: ATLANTIC SECURITIES LTD | SID Request: 11022021GRS004013009

SID Response [Load File] [Create]

Search: All Text Columns [Go] [Actions] [Reset]

4 No Submitted, Rejected, Accepted, Failed

Upload Response

File: [Choose File] | Import-temp... samp | [Finalize]

[Submit File]

Intermediary	ISIN	Record Date	Create Date	Errors	Submitted
ATLANTIC SECURITIES LTD	GRS004013009	11/02/2021	25/04/2024 15:27		DRAFT
ATLANTIC SECURITIES LTD	GRS004013009	11/02/2021	19/04/2024 10:28		DRAFT
ATLANTIC SECURITIES LTD	GRS004013009	11/02/2021	15/04/2024 11:30		DRAFT
ATLANTIC SECURITIES LTD	GRS004013009	11/02/2021	15/04/2024 11:28		DRAFT

Total 4

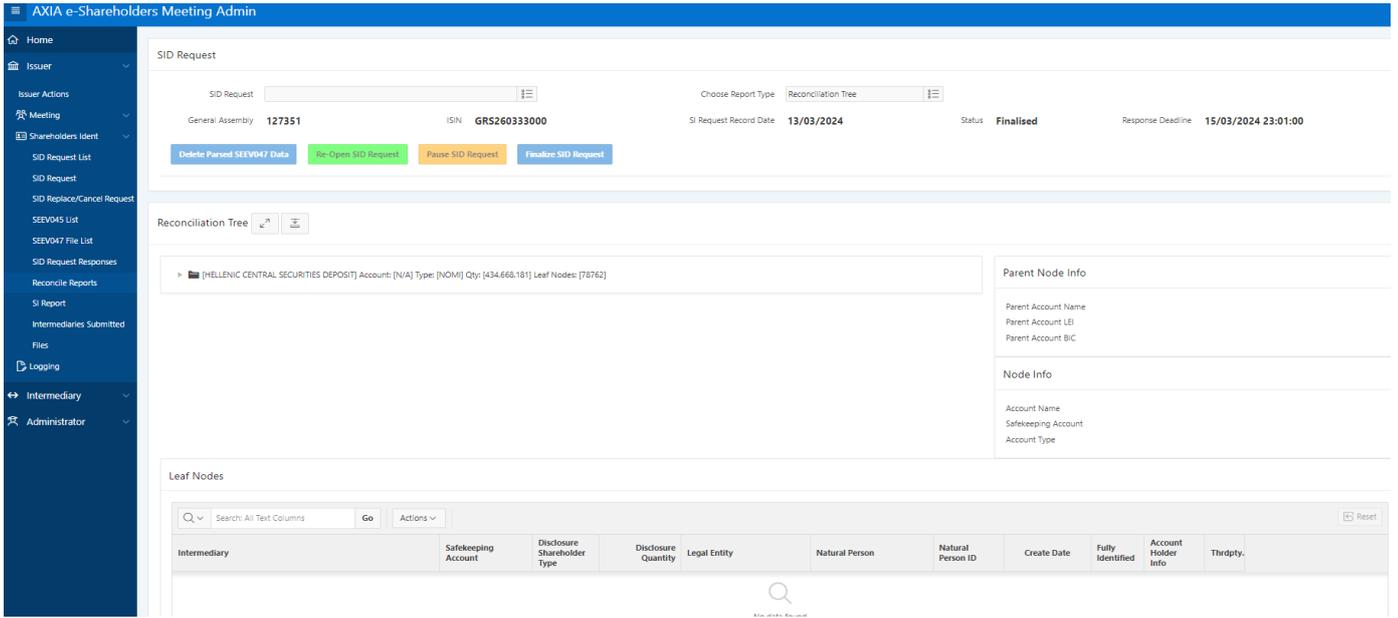
Screen 6: “Upload Response”

EXPLANATION OF SCREEN FIELDS

Field	Explanation
Submitting Company	The company/Intermediary that submits a response to an identification request is automatically displayed
SID Request	The event to which an identification response will be sent is selected
SID Response	
Go	Entering the requested information in the search field brings the result of the search by pressing "Go"
Actions	It allows creating filters, downloading files, etc
Responding Intermediary Identification	
Intermediary	Respondent Intermediary
Type	The type of Intermediary's unique identifier code (eg LEI, BIC)
ID	The number of the unique identifier code
SID Response	
Disclosure Response Identification	The name of the shareholders' identification request response file
SID Request	
Issuer Disclosure Request Identification	The unique number of the shareholders' identification request
ISIN	Unique International Securities Identification Number
Record Date	Beneficiaries' registration date
Create Date	The date that the response file for identification's request created
Errors	Display error code
Submitted	Status of acceptance by the platform of the response file to an identification request with values: "Accepted", "Draft", "Failed" or "Rejected"

2.5 Οθόνη "Reconcile Reports"

Through this screen, it is possible for the user to monitor for a specific shareholders' identification request whether his response was "connected" successfully with the previous or next Intermediary in the Intermediaries chain, as well as the shareholders' identification status in the "Fully Identified" field with possible values Yes/No.



The screenshot displays the 'AXIA e-Shareholders Meeting Admin' interface. The left sidebar contains navigation options like Home, Issuer, Issuer Actions, Meeting, Shareholders Ident, SID Request List, SID Request, SID Replace/Cancel Request, SEEV045 List, SEEV047 File List, SID Request Responses, Reconcile Reports, SI Report, Intermediaries Submitted, Files, Logging, Intermediary, and Administrator. The main content area is titled 'SID Request' and shows details for a 'General Assembly' with ID '127351' and 'ISIN' 'GRS260333000'. It includes buttons for 'Delete Parent SEEV047 Data', 'No-Open SID Request', 'Pause SID Request', and 'Finalize SID Request'. Below this is the 'Reconciliation Tree' section, which shows a search for '(HELLENIC CENTRAL SECURITIES DEPOSIT) Account: [N/A] Type: [NOMI] Qty: (434.668.181) Leaf Nodes: (78762)'. To the right of the tree are sections for 'Parent Node Info' and 'Node Info'. At the bottom, there is a 'Leaf Nodes' table with columns for Intermediary, Safekeeping Account, Disclosure Shareholder Type, Disclosure Quantity, Legal Entity, Natural Person, Natural Person ID, Create Date, Fully Identified, Account Holder Info, and Third Party.

Screen 7: "SI Response Form/Account operator & Beneficiary Details"

Screen mode:

- ✓ A response file has been sent from the chain of Intermediaries that was matched.
- X No response file has been sent from the Intermediaries' chain or was not matched.

EXPLANATION OF SCREEN FIELDS

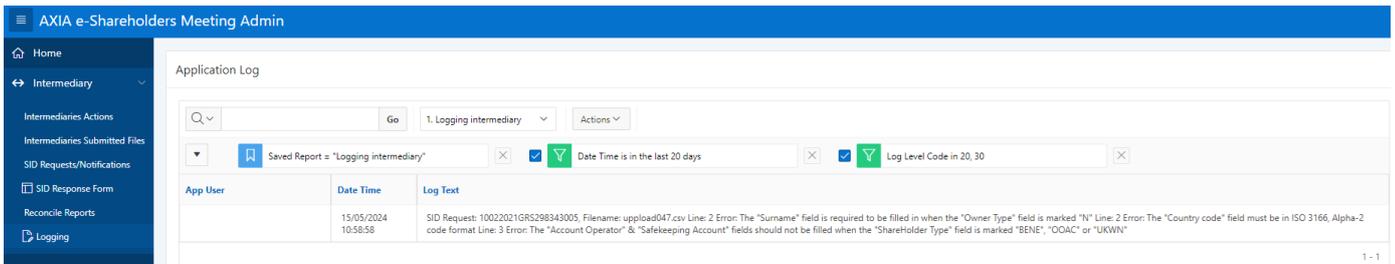
Field	Explanation
SID Request	
SID Request	The event to which an identification response will be sent is selected
Choose Report Type	Display of the identification tree or display of the answer file is selected
General Assembly	Number of General Assembly
ISIN	Unique International Securities Identification Number
SID Request Record Date	The date that the Intermediary should disclose the beneficiaries behind the omnibus accounts
Status	The status of the identification process (Open, Finalized)
Response Deadline	Deadline for submitting responses
Reconciliation Tree	
Go	Entering the requested information in the search field brings the result of the search by pressing "Go".
Actions	It allows creating filters, downloading files, etc
Parent Node info	
Parent Account Name	Name of the previous Intermediary in the chain from the responding Intermediary

Field	Explanation
Parent Account LEI	LEI of the previous Intermediary in the chain from the responding Intermediary
Parent Account BIC	BIC of the previous Intermediary in the chain from the responding Intermediary
Node info	
Account Name	Name of responding Intermediary
Safekeeping Account	Custody account of the securities at the previous intermediary in the chain
Account Type	Nomi or Bene
Leaf Nodes	
Full Name	Name of respondent
Safekeeping Account	Securities Account
Disclosure Shareholder Type	Beneficiary Type
Disclosure Quantity	Amount of identified securities
Legal Entity	Name of beneficiary Legal Entity
Natural Person	Name of beneficiary natural person
Natural Person ID	Identity certificate number
Create Date	The date that the response file for identification's request created
Fully Identified	This status shows if the beneficiary is fully identified (YES/NO)
Account Holder Info	Beneficiary information field, there is an active link that displays the submitted information of the shareholder

2.6 Screen "Logging"

Through this screen, the Intermediary receives information on the rejections/errors of submitted files, in response to a shareholders' identification request.

Screen Mode:



The screenshot shows the 'AXIA e-Shareholders Meeting Admin' interface. The left sidebar contains navigation options: Home, Intermediary, Intermediaries: Actions, Intermediaries Submitted Files, SID Requests/Notifications, SID Response Form, Reconcile Reports, and Logging. The main content area is titled 'Application Log' and features a search bar, a 'Go' button, and a dropdown menu for '1. Logging intermediary'. Below this, there are filters for 'Date Time is in the last 20 days' and 'Log Level Code in 20, 30'. A table displays log entries with columns for 'App User', 'Date Time', and 'Log Text'. The first entry shows a log from 15/05/2024 at 10:58:58 with a detailed error message: 'SID Request: 10022021GRS298343005, Filename: upload047.csv Line: 2 Error: The "Surname" field is required to be filled in when the "Owner Type" field is marked "N" Line: 2 Error: The "Country code" field must be in ISO 3166, Alpha-2 code format Line: 3 Error: The "Account Operator" & "Safekeeping Account" fields should not be filled when the "Shareholder Type" field is marked "BENE", "DOAC" or "UKWN"'. A '1 - 1' indicator is visible in the bottom right corner of the log area.

Οθόνη 8: "Logging"

- ✓ The filter is displayed on the screen with the time period for which the user wishes to receive rejections/errors of submitted files that response to a shareholders' identification request.
- ✓ The details of rejections/errors of submitted files that response to a shareholders' identification request are displayed.
- ✓ Through the "Actions" option, the user can receive in csv format the information that displays on the screen in a more readable way and with the aim of correcting all the errors in the submitted response file of the shareholders' identification request and resubmitting it.

EXPLANATION OF SCREEN FIELDS

Field	Explanation
Go	Entering the requested information in the search field brings the result of the search by pressing "Go"
Private 1. Logging intermediary/ Default 1. Primary Report	Select the filter related to the category of files requested
Actions	It allows creating filters, downloading files, etc
App User	The user who uploaded the file
Date time	Date and time where the errors of the submitted file appears in the system
Log text	Detailed report on file name, SID Request and report on all file errors per line.

3

APPENDIX 1: MESSAGE TABLE AFTER THE SUBMISSION OF RESPONSE FILE TO IDENTIFICATION REQUEST

S/N	ΠΙΝΑΚΑΣ ΜΗΝΥΜΑΤΩΝ	Message table
E100	Επιτυχής καταχώριση αρχείου	File successfully uploaded
E101	Το LEI ή BIC του "Responding Intermediary LEI" δεν αντιστοιχεί στον διαμεσολαβητή	LEI or BIC of the "Responding Intermediary" does not correspond to the intermediary
E102	Τα πεδία "SI Request ID" και "Responding Intermediary LEI" δεν είναι συμπληρωμένα	The "SI Request ID" and "Responding Intermediary LEI" fields are not filled
E103	Ο κωδικός lei του διαμεσολαβητή δεν υφίσταται	"Responding Intermediary LEI" does not exist.
E104	Δεν έχει καταχωρισθεί κωδικός LEI στο πεδίο "Responding Intermediary LEI"	No LEI code has been entered in the "Responding Intermediary LEI" field
E105	Δεν είναι δυνατή η υποβολή αρχείου μετά την παρέλευση του "response deadline"	It is not possible to submit a file after the "response deadline" has passed
E106	Το "SI Request ID" που καταχωρήθηκε στο αρχείο δεν αντιστοιχεί με το επιλεγμένο "SI Request ID"	The "SI Request ID" entered in the uploaded file does not match the selected "SI Request ID"
E107	Δεν δέχεται απαντήσεις για το εν λόγω αίτημα	"SID Request" does not open.
E108	Η συμπλήρωση των πεδίων "SI Request ID" και "Responding Intermediary LEI" επιτρέπεται μόνο στην 1η γραμμή του αρχείου	The completion of the fields "SI Request ID" and "Responding Intermediary LEI" is only allowed on the 1st line of the file
E109	Απαιτείται η συμπλήρωση των πεδίων "SI Request ID" και "Responding Intermediary LEI" στην 1η γραμμή του αρχείου	The completion of the fields "SI Request ID" and "Responding Intermediary LEI" is mandatory at 1st line of the file
E110	Προσδιορίστε κωδικό LEI ή κωδικό BIC του διαμεσολαβητή	Please specify either a LEI or a BIC of account operator.
E111	Ο καταχωρισμένος κωδικός LEI στο πεδίο "Account Operator LEI" δεν είναι έγκυρος	The LEI code entered in the "Account Operator LEI" field is not valid
E112	Ο καταχωρισμένος κωδικός BIC στο πεδίο "Account Operator BIC" δεν είναι έγκυρος	The BIC code entered in the "Account Operator BIC" field is not valid
E113	Στα πεδία "Account Operator Shares Total" και "Shares Own" επιτρέπεται η καταχώριση μόνο ακέραιων αριθμών	In the "Account Operator Shares Total" and "Shares Own" fields only whole numbers are allowed
E114	Η ποσότητα στο πεδίο "Account Operator Shares Total" πρέπει να είναι μεγαλύτερη ή ίση με την ποσότητα στο πεδίο "Shares Own"	The amount in the "Account Operator Shares Total" field must be greater than or equal to the amount in the "Shares Own" field
E115	Απαιτείται επανέλεγχος στο μέγεθος του πεδίου "Account operator"	Check again the length on the field of the "Account operator"
E116	Απαιτείται η συμπλήρωση των πεδίων "Safekeeping Account", "Shares Total" &	The "Safekeeping Account", "Shares Total" & "Shares Own" fields are required when the "LEI" or "BIC" fields

	"Shares Own" όταν τα πεδία "LEI" ή "BIC" είναι συμπληρωμένα	are filled
E117	Τα πεδία "ShareHolder Lei" & "ShareHolder BIC" δεν πρέπει να συμπληρωθούν όταν το πεδίο "Owner Type" έχει ένδειξη "N"	The fields "ShareHolder Lei" & "ShareHolder BIC" should not be filled when the field "Owner Type" is marked "N"
E118	Απαιτείται να συμπληρωθεί το πεδίο "ShareHolder Type" με BENE ή UKWN όταν το πεδίο "Owner Type" έχει ένδειξη "N"	The "ShareHolder Type" field is required to be filled in with BENE or UKWN when the "Owner Type" field is marked "N"
E119	Απαιτείται να συμπληρωθεί το πεδίο "ShareHolder ID" όταν το πεδίο "Owner Type" έχει ένδειξη "N"	The "ShareHolder ID" field is required to be filled in when the "Owner Type" field is marked "N"
E120	Απαιτείται να συμπληρωθεί το πεδίο "Surname" όταν το πεδίο "Owner Type" έχει ένδειξη "N"	The "Surname" field is required to be filled in when the "Owner Type" field is marked "N"
E121	Απαιτείται να συμπληρωθούν τα πεδία "ShareHolder Lei" & "ShareHolder BIC" όταν το πεδίο "Owner Type" έχει ένδειξη "L"	"ShareHolder Lei" & "ShareHolder BIC" fields are required when the "Owner Type" field is marked "L"
E122	Προσδιορίστε κωδικό LEI ή κωδικό BIC του δικαιούχου	Please specify either a LEI or a BIC on beneficiary details.
E123	Ο καταχωρισμένος κωδικός LEI στο πεδίο "ShareHolder LEI" δεν είναι έγκυρος	The registered LEI code in the "ShareHolder LEI" field is not valid
E124	Ο καταχωρισμένος κωδικός BIC στο πεδίο "ShareHolder BIC" δεν είναι έγκυρος	The registered BIC code in the "ShareHolder BIC" field is not valid
E125	Το πεδίο "Surname" δεν πρέπει να συμπληρωθεί όταν το πεδίο "Owner Type" έχει ένδειξη "L"	The "Surname" field should not be filled in when the "Owner Type" field is marked "L"
E126	Απαιτείται να συμπληρωθούν τα πεδία "Account Operator" & "Safekeeping Account" όταν το πεδίο "ShareHolder Type" έχει ένδειξη "NOMI"	"Account Operator" & "Safekeeping Account" fields are required when the "ShareHolder Type" field is marked "NOMI"
E127	Τα πεδία "Account Operator" & "Safekeeping Account" δεν πρέπει να συμπληρωθούν όταν το πεδίο "ShareHolder Type" έχει ένδειξη "BENE", "OOAC" ή "UKWN"	The "Account Operator" & "Safekeeping Account" fields should not be filled when the "ShareHolder Type" field is marked "BENE", "OOAC" or "UKWN"
E128	Απαιτείται να συμπληρωθεί το πεδίο "Country code" όταν τα πεδία "Address", "Post Code", "City" ή "Post Box" έχουν τιμή	The "Country code" field is required when the "Address", "Post Code", "City" or "Post Box" fields have a value
E129	Το πεδίο "Country code" πρέπει να είναι σε μορφότυπο ISO 3166, Alpha-2 code	The "Country code" field must be in ISO 3166, Alpha-2 code format
E130	Στις στήλες H έως V τα στοιχεία έχουν καταχωριστεί σε λάθος μορφότυπο (type or length)	In columns H to V the elements have been entered in the wrong format (type or length)
E131	Στις στήλες H έως P δεν έχουν καταχωριστεί τα υποχρεωτικά στοιχεία	Columns H to P have not entered the mandatory beneficiary details

	δικαιούχων	
E132	Δε συμφωνεί η ποσότητα των πεδίων "Account Operator Shares own" με το άθροισμα των ποσοτήτων στις αντίστοιχες στήλες "Quantity"	The quantity of the "Account Operator Shares own" fields does not agree with the sum of the quantities in the corresponding "Quantity" columns
E133	Η καταχωρισμένη τιμή κωδικού LEI του απαντώντα διαμεσολαβητή δεν είναι σωστή	The registered LEI code for responding intermediary is not valid
E134	Η ποσότητα του πεδίου "Account Operator Share total" πρέπει να είναι μεγαλύτερη από μηδέν	The amount in the "Account Operator Shares Total" field must be greater than zero

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APPENDIX 2: TABLE OF ERROR CODES WHICH TRANSMITTED BY ATHEXCSD THROUGH THE "SHARE IDENTIFICATION REQUEST RESPONSE STATUS" MESSAGE (SEEV.049)

049 Code	MESSAGE
E001	Invalid XML: %P1
E002	Duplicate submission or page ISSRDSCLSRREQID:%P1 , RSPNDGINTRMY: %P2 , DSCLSRRESPNID %P3, pageNb %P4
IDRI	Disclosure request identification is invalid or unknown (IDRI). IssrDsclsrReqId: %P1
E004	ShareholdingBalanceOnOwnAccount is different from the sum of the Disclosure balances of type OOAC. Safekeeping account: %P1 - Account Holder Own amount :%P2 , Disclosure Own amount: %P2
E005	ShareholdingBalanceOnCintAccount is different from the sum of the Disclosure balances of type not OOAC. Safekeeping account: %P1 - Account Holder amount:%P2 , Disclosure amount: %P2
E006	Disclosure Safekeeping Account used multiple times for the same SEEV047 message. Safekeeping account: %P1 used multiple times in %P2
E007	Sender is not the Responding Intermediary or one of its agents. Sender: %P1, Responding Intermediary: %P2
E008	Unknown Sender. Responding Intermediary:%P1 dest address: %P2, destination user: %P3
E009	Responding Intermediary needs due diligence. %P1
E010	Unsupported message identifier: %P1
E011	Safekeeping Account is analyzed in another message. Safekeeping Account: %P1
E012	Disclosure Safekeeping Account is referred in another message. Safekeeping Account: %P1
E013	Disclosure Request is closed, no more responses are accepted. Received date: %P1

5 APPENDIX 3: SAMPLE FILE IN CSV FORMAT SENDING AS A RESPONSE TO IDENTIFICATION REQUEST

Field	Type/Length	Comments
SID Request	A40	As sent by the agent, the Intermediary's details are only listed in the 1st row
Responding Intermediary LEI	A20	The details of the Intermediary are filled in only in the 1st row
Account Operator LEI	A20	Either the LEI or the BIC code of the responding Intermediary is entered, the details of the Intermediary are entered in the 1st row only
Account Operator BIC	A10	Either the LEI or the BIC code of the responding Intermediary is entered, the details of the Intermediary are entered in the 1st row only
Account Operator Safekeeping Account	A40	The details of the Intermediary are filled in only in the 1st row
Account Operator Shares Total	N12	The details of the Intermediary are filled in only in the 1st row
Account Operator Shares Own	N12	The details of the Intermediary are filled in only in the 1st row
Owner Type	A1	L=For Legal Entity shareholder N=For natural Person shareholder
ShareHolder Type	A4	OOAC=FOR OWN ACCOUNT BENE=FOR FINAL BENEFICIARY NOMI=FOR OMNIBUS ACCOUNT TO BE ANALYZED TO THE NEXT LEVEL IN THE CHAIN
Name	A40	
Surname	A40	
ShareHolder Lei	A20	Either the LEI or the BIC of the shareholder Legal Entity is filled in
ShareHolder BIC	A10	Either the LEI or the BIC of the shareholder Legal Entity is filled in
ShareHolder ID	A40	
SfkpgAcct	A40	
Quantity	N12	
Address	A40	

OPERATION OF INTERMEDIARY SCREENS ON THE AXIA E-SHAREHOLDERS MEETING PLATFORM

Post Code	A10	
City	A40	
Country code	A2	ISO 3166-2
Post Box	A10	
Email	A40	