

Compliance Function



Compliance with the letter, and the spirit of the laws, the institutional and supervisory rules and principles, and best market practices is an integral part of ATHEX Group's corporate culture. Group Compliance Unit is an independent that operates separately from other business units handling all compliance issues, was established in 2011. Group Compliance Unit's major responsibilities are the following:

- Minimization of compliance risk, financial loss or damage to the reputation, the Group may suffer, because of its failure to comply with any rule.
- Provision of advice to the business units about the implementation of the legal and regulatory framework.
- Development and implementation of internal compliance policies, rules, and measures regarding topics such as prevention of conflict of interest, mitigation of outsourcing risk, complaints handling, protection of confidential information and personal data etc.
- Monitoring of compliance on the legal and regulatory framework as well as on the above internal rules.