

Application for ATHEX Market trader certificates,

- (i) **Securities Trader Certificate (STC)**
(ii) **Derivatives Trader Certificate (DTC)**

To: Athens Exchange, 110, Athinon Avenue, 104 42, Athens- Greece
Certification / Education Services.
Tel. +30-210-3366464, Fax +30-210-3366643
email: ATHEX_Certifications@athexgroup.gr

Date:

General Information

| | | | | |
|-------------------------------------|---|-----|--|--------|
| Candidate's Name and Surname | | | | |
| Telephone | | FAX | | E-mail |
| Member's Name | | | | |
| Contact Person | | | | |
| Telephone | | FAX | | E-mail |
| Type of Requested Certificate | <input type="checkbox"/> Securities Trader Certificate (STC) <input type="checkbox"/> Derivatives Trader Certificate (DTC) | | | |
| | <input type="checkbox"/> Request of exemption from the ATHEX Examinations | | | |

Required Documents (originals or certified copies required)

Exemption from the ATHEX certification exams:

- i. Relevant trader's certificate as provided for by Resolution No. 3 or
- ii. Proof of 2 years working experience gained over the last 5 years
- iii. Attendance of the ATHEX special seminar
- iv. Joint declaration of the ATHEX Member and of the candidate regarding awareness of the regulations and the relevant resolutions of the Athens Exchange
- v. Copy of Identity card or of passport
- vi. Receipt of certification fees

Candidate's Name and Signature

Member's Authorized Signatory Name and Signature